





## Deep Learning Neural Net Basics

**Computer Vision** 

James Hays

Many slides by Marc'Aurelio Ranzato

## Outline

- Neural Networks
- Convolutional Neural Networks
- Variants
  - Detection
  - Segmentation
  - Siamese Networks
- Visualization of Deep Networks

#### **Supervised Learning**

- $|(\mathbf{x}^{i}, \mathbf{y}^{i}), i=1...P|$  training dataset
- $x^{i}$  i-th input training example
- $y^i$  i-th target label
- *P* number of training examples

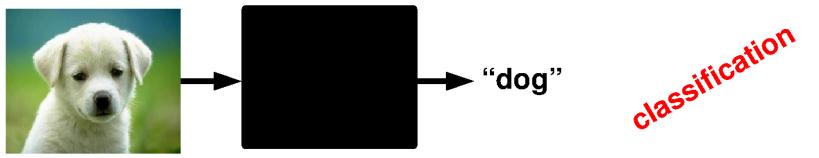


Goal: predict the target label of unseen inputs.

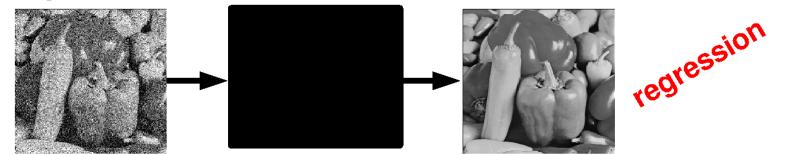


#### **Supervised Learning: Examples**

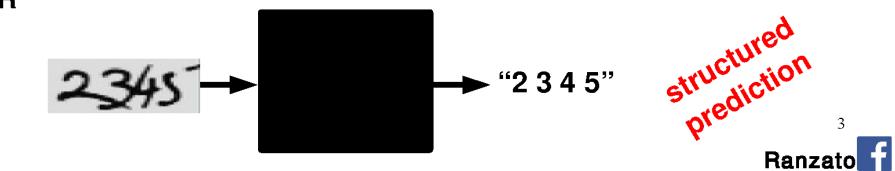
Classification



Denoising

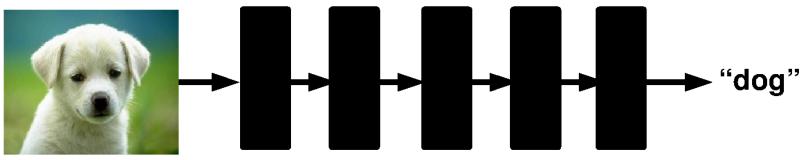


OCR

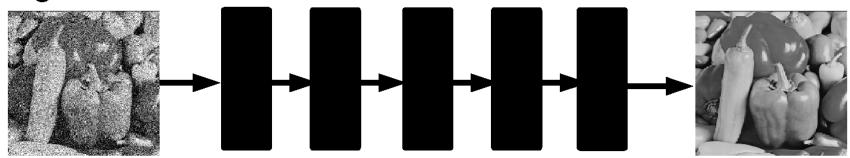


#### **Supervised Deep Learning**

#### Classification



Denoising



OCR  $2345 \rightarrow 4$  345'' 4Ranzato

# Project 3: Scene Classification with Deep Nets Dataset

The dataset to be used in this assignment is the 15-scene dataset, containing natural images in 15 possible scenarios like bedrooms and coasts. It was first introduced by Lazebnik et al, 2006 [1]. The images have a typical size of around 200 by 200 pixels, and serve as a good milestone for many vision tasks. A sample collection of the images can be found below:



Figure 1: Example scenes from each of the categories of the dataset.

Download the data (link at the top), unzip it and put the data folder in the proj4 directory.

#### 1 Part 1: SimpleNet

#### Introduction

In this project, scene recognition with deep learning, we are going to train a simple convolutional neural net from scratch. We'll be starting with some modification to the dataloader used in this project to include a few extra pre-processing steps. Subsequently, you will define your own model and optimization function. A trainer class will be provided to you, and you will be able to test out the performance of your model with this complete pipeline of classification problem.

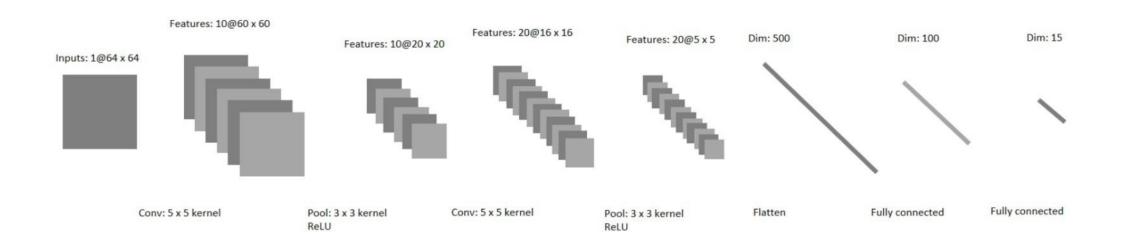


Figure 2: The base SimpleNet architecture for Part 1.

## Outline

#### Neural Networks

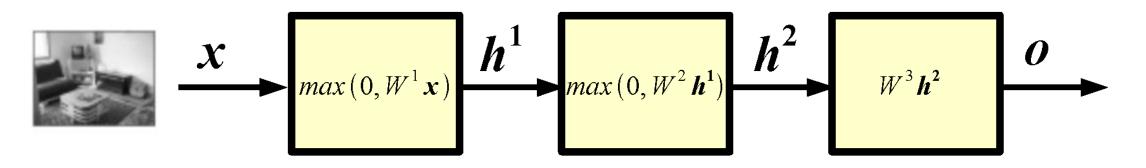
- Convolutional Neural Networks
- Variants
  - Detection
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#### **Neural Networks**

Assumptions (for the next few slides):

- The input image is vectorized (disregard the spatial layout of pixels)
- The target label is discrete (classification)

#### **Neural Networks: example**



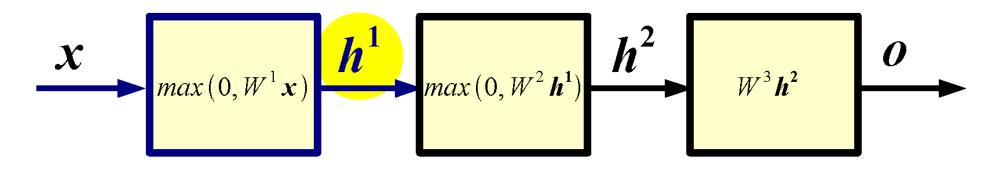
- *x* input
- $h^1$  1-st layer hidden units
- $h^2$  2-nd layer hidden units
- *o* output

Example of a 2 hidden layer neural network (or 4 layer network, counting also input and output).



**Def.:** Forward propagation is the process of computing the output of the network given its input.





$$\boldsymbol{x} \in R^{D} \quad W^{1} \in R^{N_{1} \times D} \quad \boldsymbol{b}^{1} \in R^{N_{1}} \quad \boldsymbol{h}^{1} \in R^{N_{1}}$$

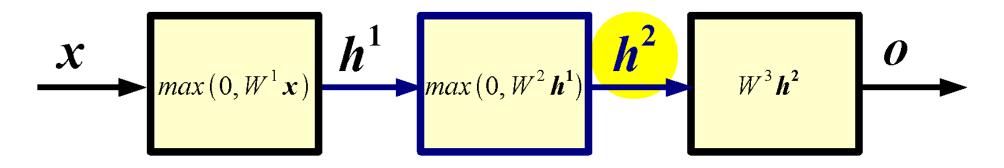
$$h^1 = max(0, W^1x + b^1)$$

 $W^1$  1-st layer weight matrix or weights **b**<sup>1</sup> 1-st layer biases

The non-linearity u = max(0, v) is called **ReLU** in the DL literature. Each output hidden unit takes as input all the units at the previous layer: each such layer is called "**fully connected**".



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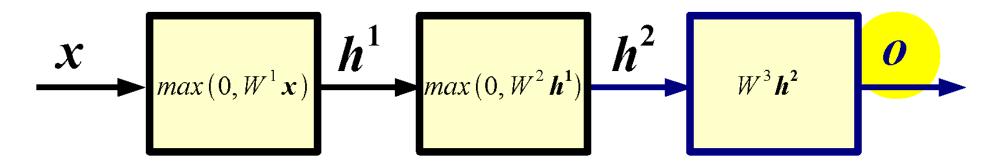


$$\boldsymbol{h}^{1} \in R^{N_{1}} \quad W^{2} \in R^{N_{2} \times N_{1}} \quad \boldsymbol{b}^{2} \in R^{N_{2}} \quad \boldsymbol{h}^{2} \in R^{N_{2}}$$

$$\boldsymbol{h}^2 = max\left(0, W^2 \boldsymbol{h}^1 + \boldsymbol{b}^2\right)$$

 $W^2$  2-nd layer weight matrix or weights **b**<sup>2</sup> 2-nd layer biases



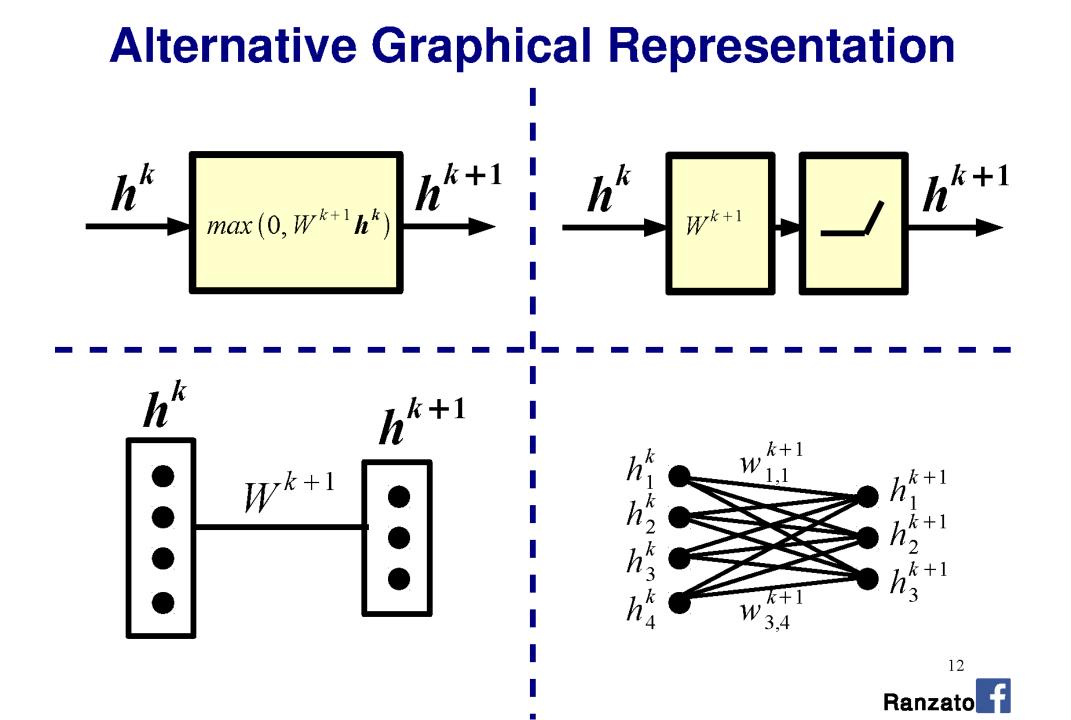


$$\boldsymbol{h}^2 \in R^{N_2} \quad W^3 \in R^{N_3 \times N_2} \quad \boldsymbol{b}^3 \in R^{N_3} \quad \boldsymbol{o} \in R^{N_3}$$

$$\boldsymbol{o} = max\left(0, W^3 \, \boldsymbol{h}^2 + \boldsymbol{b}^3\right)$$

 $W^3$  3-rd layer weight matrix or weights **b**<sup>3</sup> 3-rd layer biases

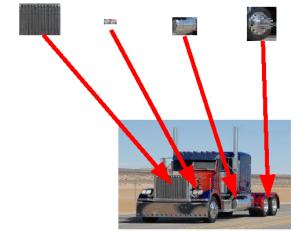




**Question:** Why do we need many layers?

**Answer:** When input has hierarchical structure, the use of a hierarchical architecture is potentially more efficient because intermediate computations can be re-used. DL architectures are efficient also because they use **distributed representations** which are shared across classes.

#### [0 0 1 0 0 0 0 1 0 0 1 1 0 0 1 0 ...] truck feature

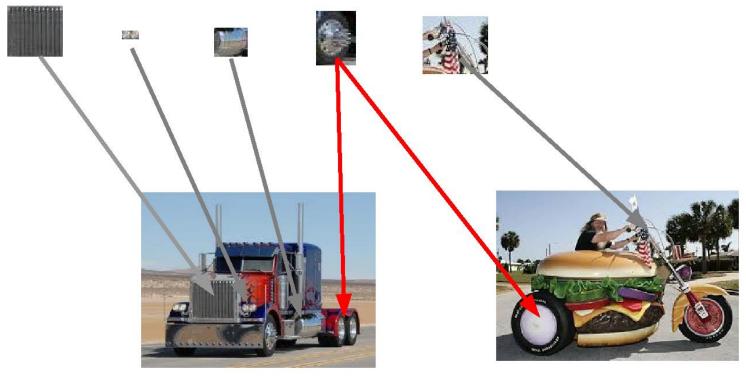


Exponentially more efficient than a 1-of-N representation (a la k-means)

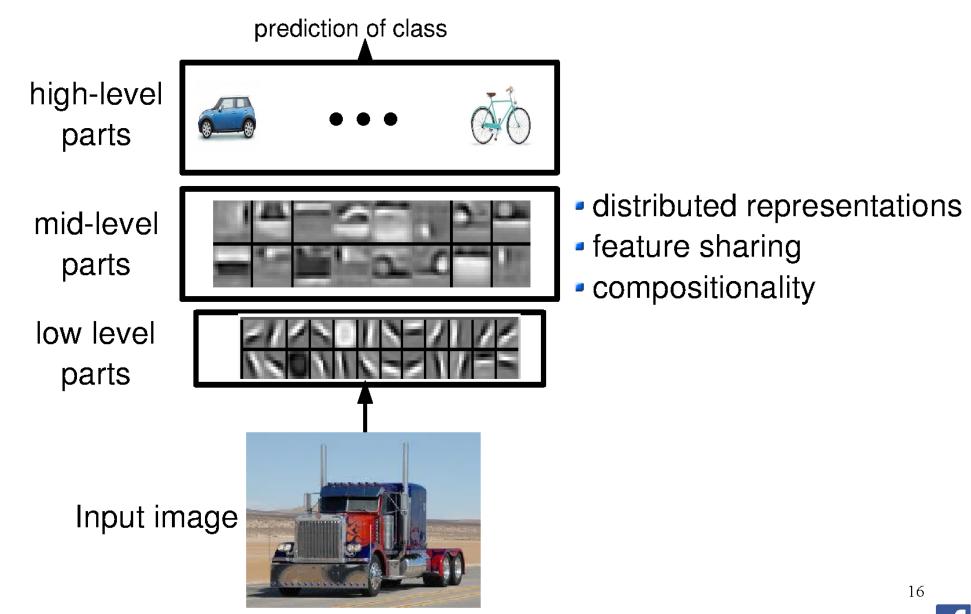


[1 1 0 0 0 1 0 1 0 0 0 0 1 1 0 1...] motorbike

[0 0 1 0 0 0 0 1 0 0 1 1 0 0 1 0 ... ] truck







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Lee et al. "Convolutional DBN's ..." ICML 2009

**Question:** What does a hidden unit do?

**Answer:** It can be thought of as a classifier or feature detector.

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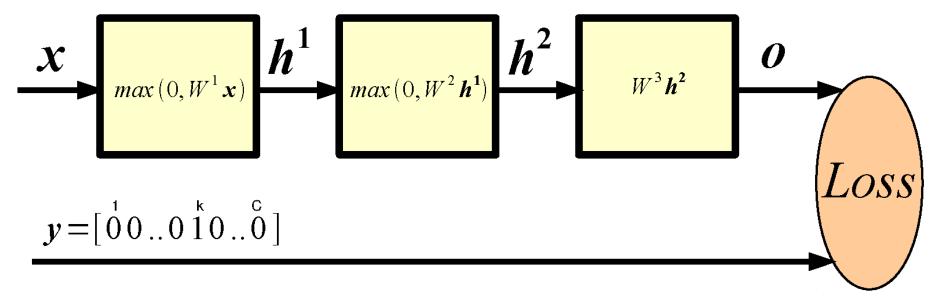
**Answer:** Cross-validation or hyper-parameter search methods are the answer. In general, the wider and the deeper the network the more complicated the mapping.

**Question:** How do I set the weight matrices?

**Answer:** Weight matrices and biases are learned. First, we need to define a measure of quality of the current mapping. Then, we need to define a procedure to adjust the parameters.

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#### How Good is a Network?



Probability of class k given input (softmax):

$$p(c_k=1|\mathbf{x}) = \frac{e^{o_k}}{\sum_{j=1}^{C} e^{o_j}}$$

(Per-sample) **Loss**; e.g., negative log-likelihood (good for classification of small number of classes):

$$L(\mathbf{x}, y; \boldsymbol{\theta}) = -\sum_{j} y_{j} \log p(c_{j} | \mathbf{x})$$
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#### Training

**Learning** consists of minimizing the loss (plus some regularization term) w.r.t. parameters over the whole training set.

$$\boldsymbol{\theta}^* = \operatorname{arg\,min}_{\boldsymbol{\theta}} \sum_{n=1}^{P} L(\boldsymbol{x}^n, y^n; \boldsymbol{\theta})$$

### Training

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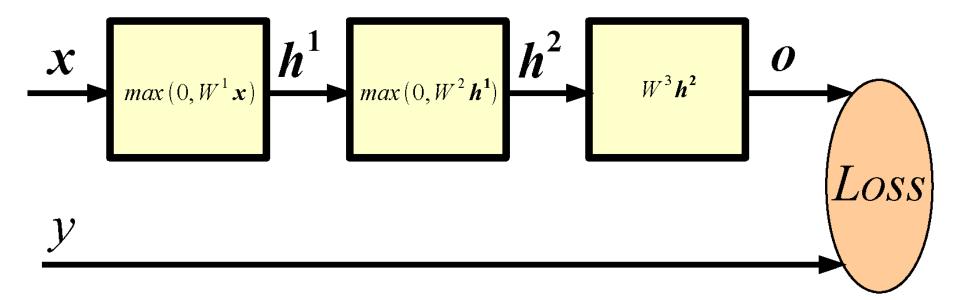
**Question:** How to minimize a complicated function of the parameters?

**Answer:** Chain rule, a.k.a. **Backpropagation**! That is the procedure to compute gradients of the loss w.r.t. parameters in a multi-layer neural network.

Rumelhart et al. "Learning internal representations by back-propagating.." Nature 1986

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## Key Idea: Wiggle To Decrease Loss



Let's say we want to decrease the loss by adjusting  $W_{i,j}^1$ . We could consider a very small  $\epsilon = 1e-6$  and compute:

$$L(\boldsymbol{x}, \boldsymbol{y}; \boldsymbol{\theta})$$
$$L(\boldsymbol{x}, \boldsymbol{y}; \boldsymbol{\theta} \setminus W_{i,j}^{1}, W_{i,j}^{1} + \boldsymbol{\epsilon})$$

Then, update:

$$W_{i,j}^{1} \leftarrow W_{i,j}^{1} + \epsilon \, sgn(L(\boldsymbol{x}, \boldsymbol{y}; \boldsymbol{\theta}) - L(\boldsymbol{x}, \boldsymbol{y}; \boldsymbol{\theta} \setminus W_{i,j}^{1}, W_{i,j}^{1} + \epsilon)) ^{20}$$
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#### **Derivative w.r.t. Input of Softmax**

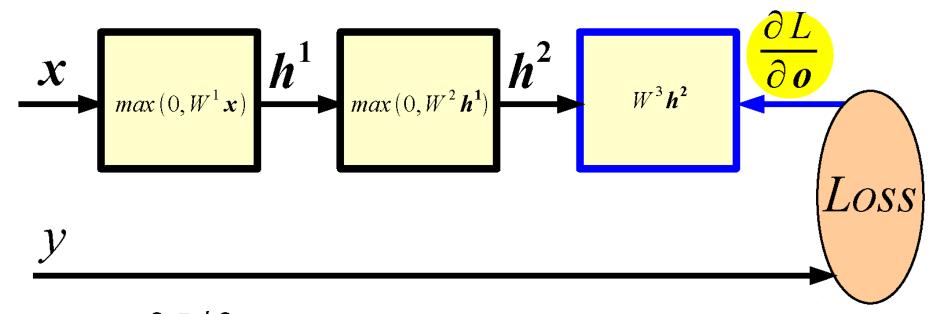
$$p(c_k=1|\mathbf{x}) = \frac{e^{o_k}}{\sum_j e^{o_j}}$$

$$L(\mathbf{x}, y; \boldsymbol{\theta}) = -\sum_{j} y_{j} \log p(c_{j} | \mathbf{x}) \qquad \mathbf{y} = [\overset{1}{0} 0 .. 0 \overset{k}{1} 0 .. \overset{c}{0}]$$

By substituting the fist formula in the second, and taking the derivative w.r.t. o we get:

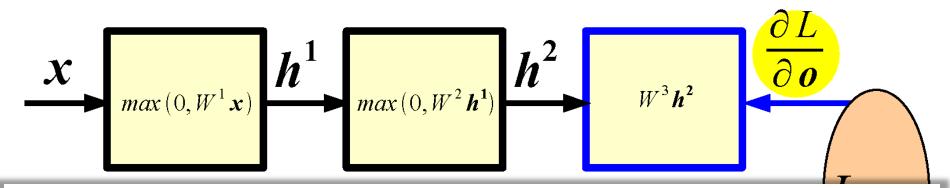
$$\frac{\partial L}{\partial o} = p(c|\mathbf{x}) - \mathbf{y}$$





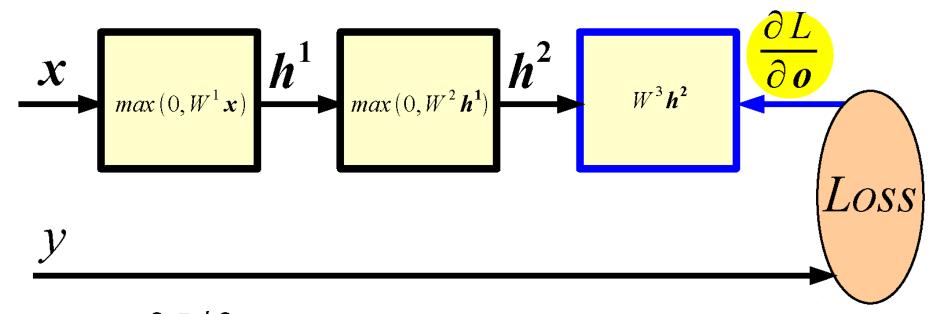
Given  $\partial L/\partial o$  and assuming we can easily compute the Jacobian of each module, we have:

$$\frac{\partial L}{\partial W^3} = \frac{\partial L}{\partial o} \frac{\partial o}{\partial W^3} \qquad \qquad \frac{\partial L}{\partial h^2} = \frac{\partial L}{\partial o} \frac{\partial o}{\partial h^2}$$



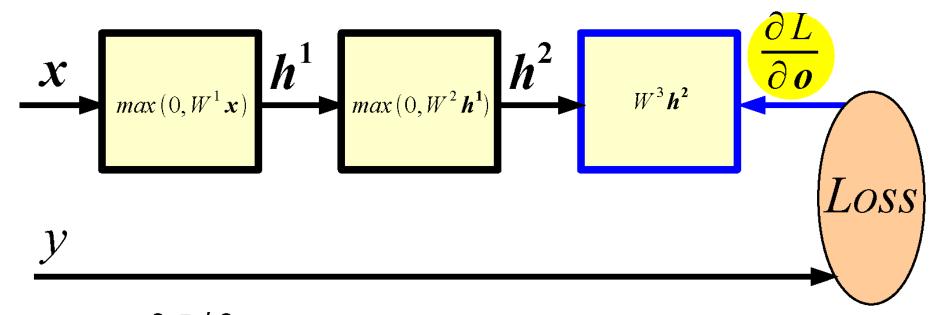
Suppose  $\mathbf{f} : \mathbf{R}^n \to \mathbf{R}^m$  is a function such that each of its first-order partial derivatives exist on  $\mathbf{R}^n$ . This function takes a point  $\mathbf{x} \in \mathbf{R}^n$  as input and produces the vector  $\mathbf{f}(\mathbf{x}) \in \mathbf{R}^m$  as output. Then the Jacobian matrix of  $\mathbf{f}$  is defined to be an  $m \times n$  matrix, denoted by  $\mathbf{J}$ , whose (i,j)th entry is  $\mathbf{J}_{ij} = \frac{\partial f_i}{\partial x_j}$ , or explicitly

$$\mathbf{J} = egin{bmatrix} rac{\partial \mathbf{f}}{\partial x_1} & \cdots & rac{\partial \mathbf{f}}{\partial x_n} \end{bmatrix} = egin{bmatrix} 
abla^{\mathrm{T}} f_1 \ dots \ 
abla^{\mathrm{T}} f_m \end{bmatrix} = egin{bmatrix} rac{\partial f_1}{\partial x_1} & \cdots & rac{\partial f_1}{\partial x_n} \ dots & \ddots & dots \ rac{\partial f_m}{\partial x_1} & \cdots & rac{\partial f_m}{\partial x_n} \end{bmatrix}$$



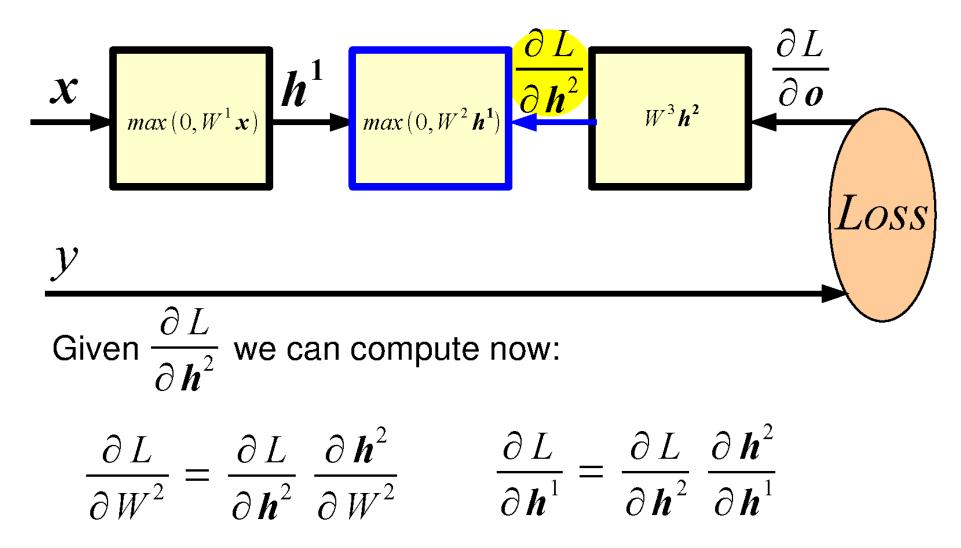
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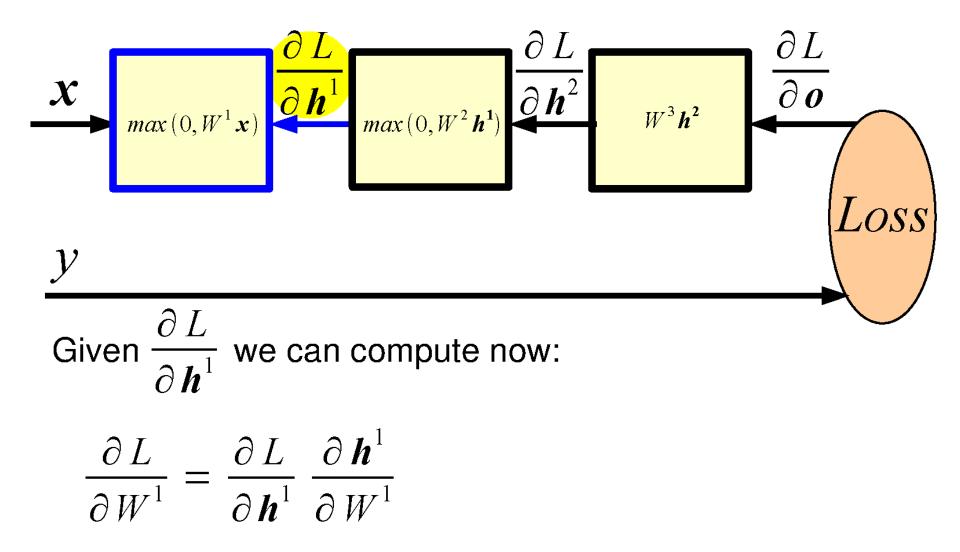


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$$\frac{\partial L}{\partial W^{3}} = (p(c|\mathbf{x}) - \mathbf{y}) \mathbf{h}^{2T} \qquad \frac{\partial L}{\partial h^{2}} = W^{3T} (p(c|\mathbf{x}) - \mathbf{y})_{23}$$









Question: Does BPROP work with ReLU layers only? Answer: Nope, any a.e. differentiable transformation works.

**Question:** Does BPROP work with ReLU layers only? **Answer:** Nope, any a.e. differentiable transformation works.

**Question:** What's the computational cost of BPROP?

**Answer:** About twice FPROP (need to compute gradients w.r.t. input and parameters at every layer).

#### **Optimization**

#### **Stochastic Gradient Descent** (on mini-batches):

$$\boldsymbol{\theta} \leftarrow \boldsymbol{\theta} - \eta \frac{\partial L}{\partial \boldsymbol{\theta}}, \eta \in (0, 1)$$

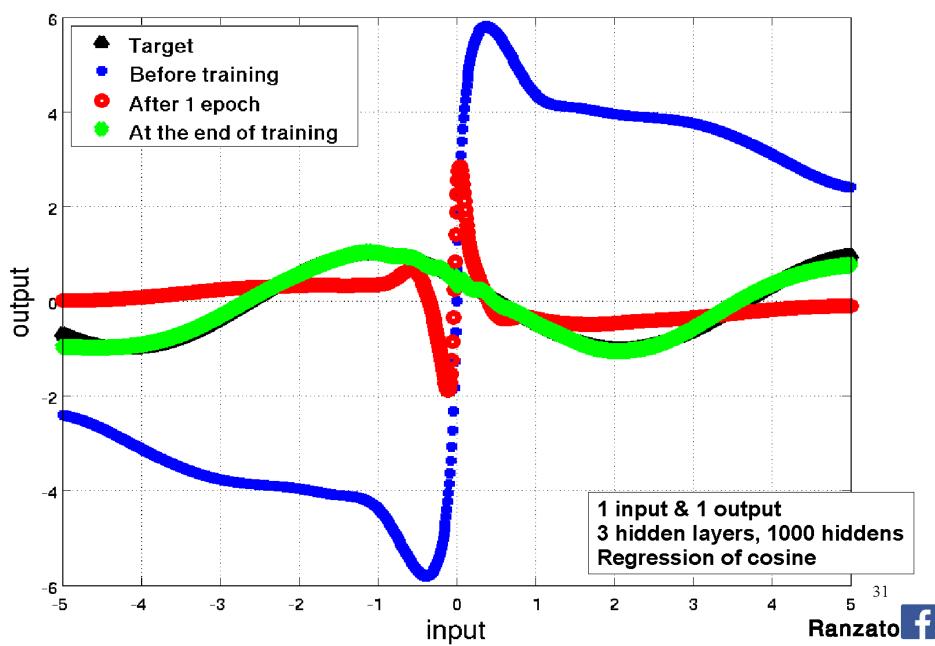
#### **Stochastic Gradient Descent with Momentum:**

$$\boldsymbol{\theta} \leftarrow \boldsymbol{\theta} - \boldsymbol{\eta} \, \boldsymbol{\Delta}$$
$$\boldsymbol{\Delta} \leftarrow 0.9 \, \boldsymbol{\Delta} + \frac{\partial L}{\partial \boldsymbol{\theta}}$$

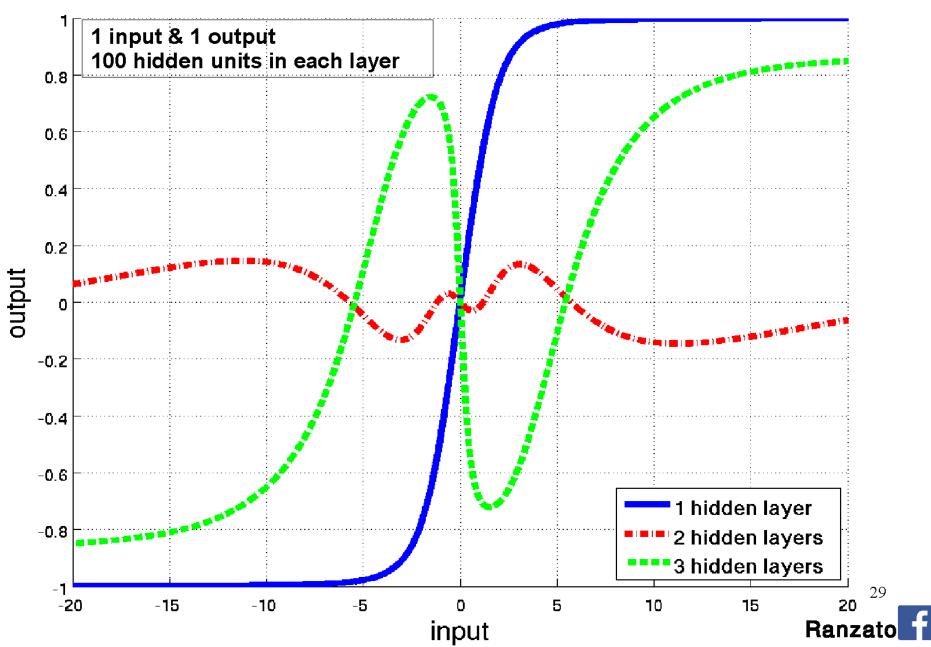
Note: there are many other variants...



#### **Toy Example: Synthetic Data**



#### **Toy Example: Synthetic Data**



#### **Outline**

Supervised Neural Networks

#### Convolutional Neural Networks

Examples





#### **Outline**

Supervised Neural Networks

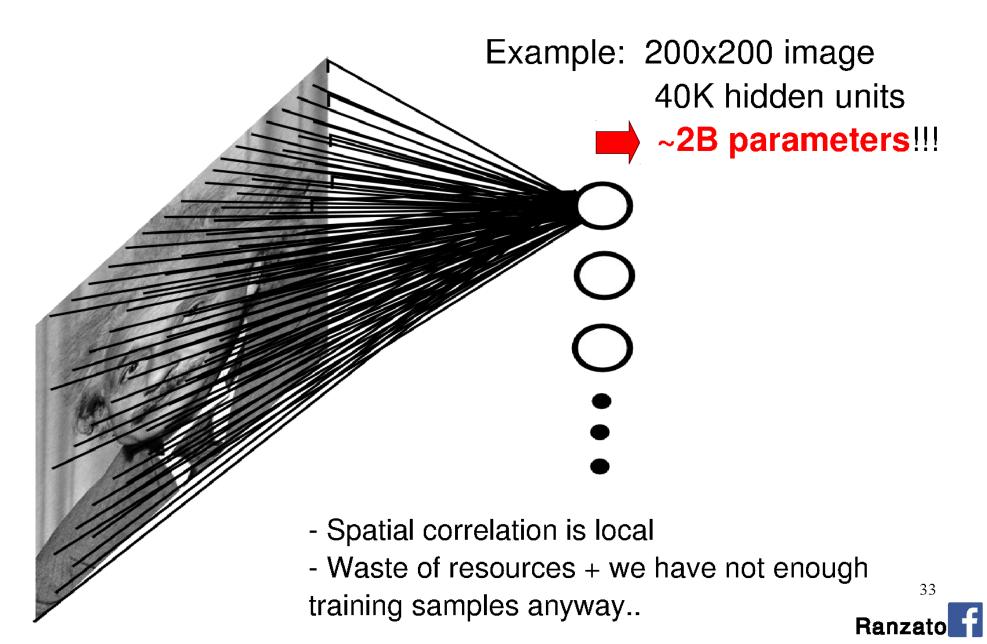
#### Convolutional Neural Networks

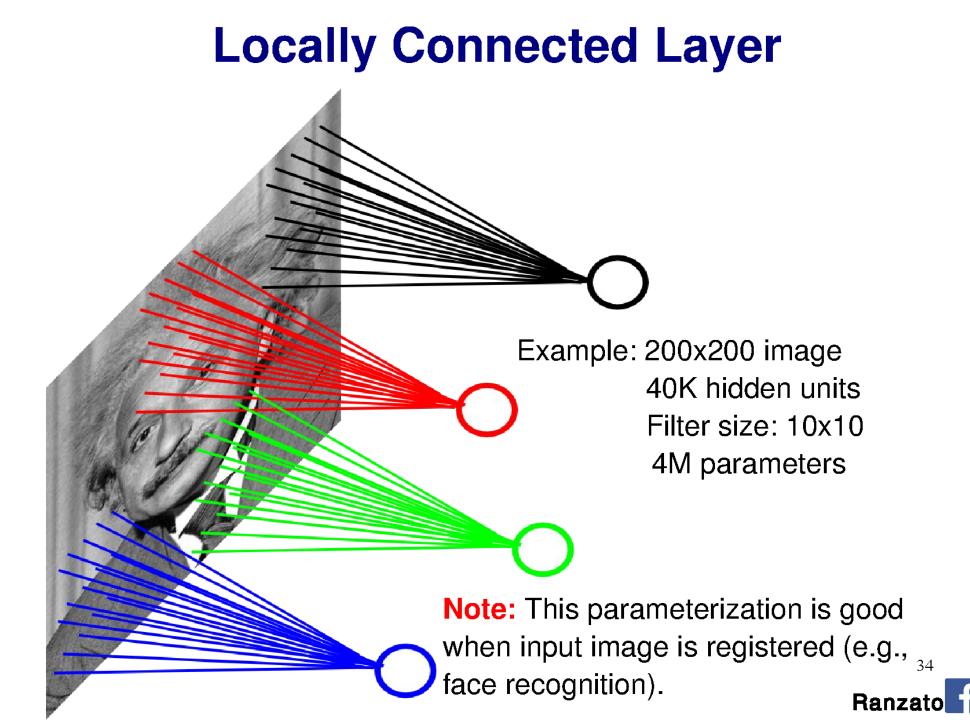
Examples



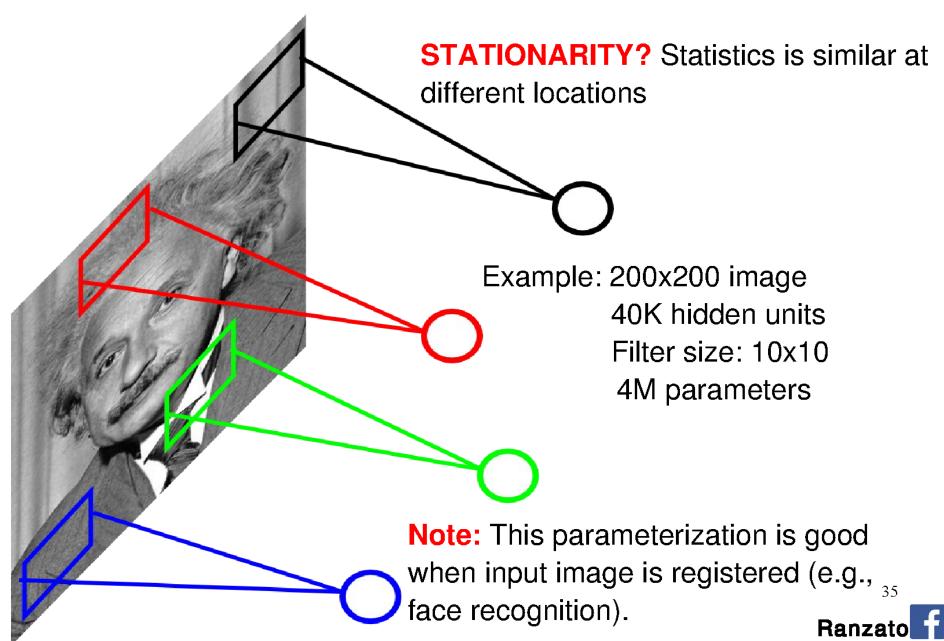


## **Fully Connected Layer**



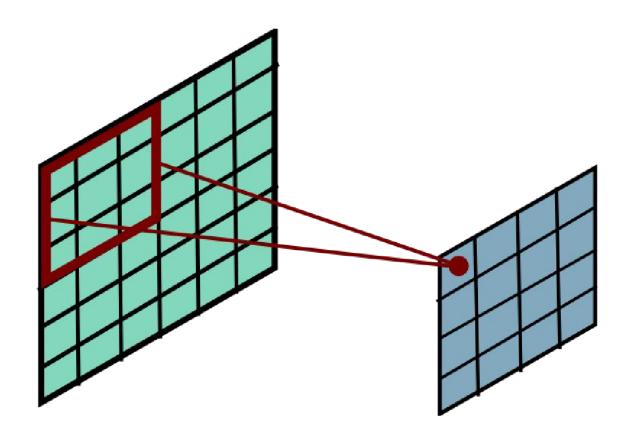


#### **Locally Connected Layer**

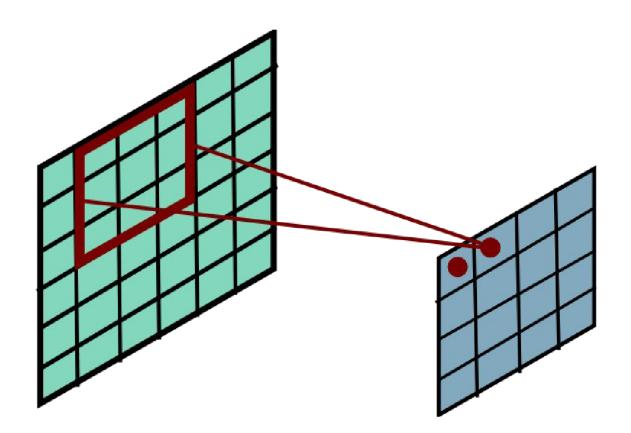


Share the same parameters across different locations (assuming input is stationary): Convolutions with learned kernels

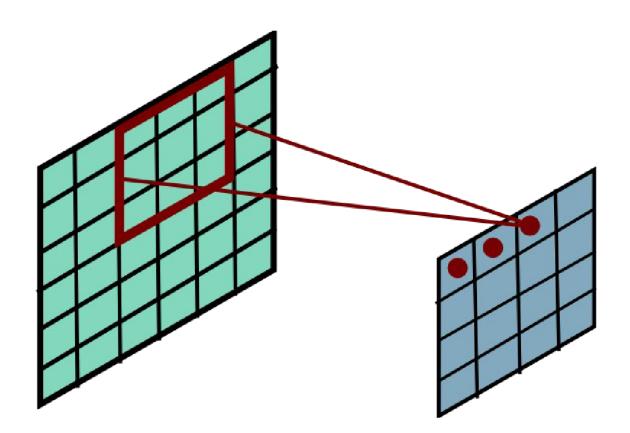




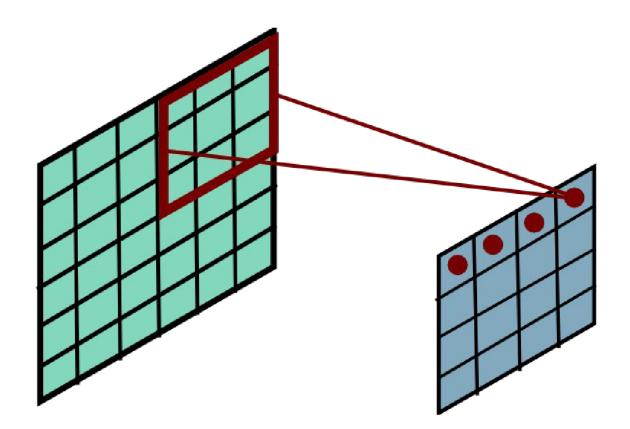




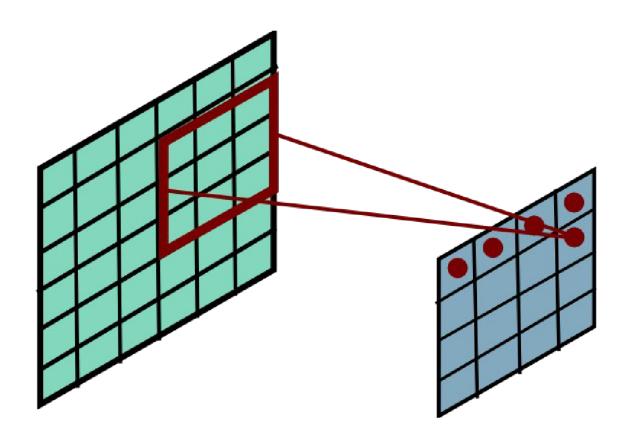




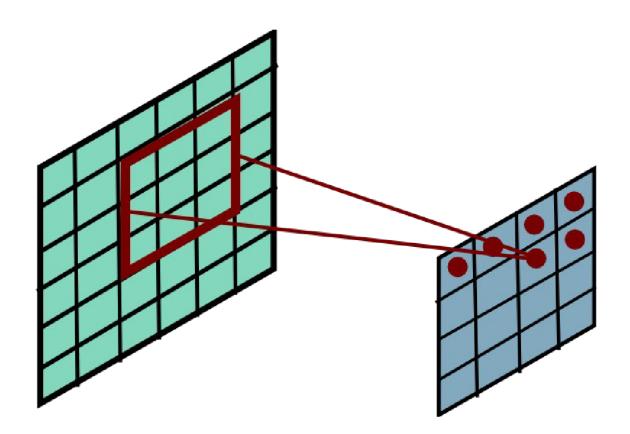




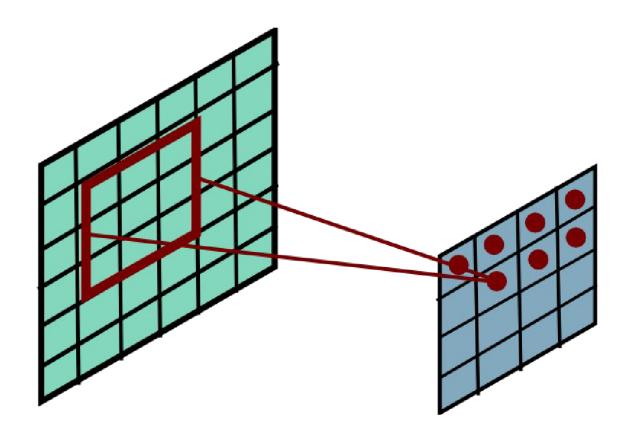




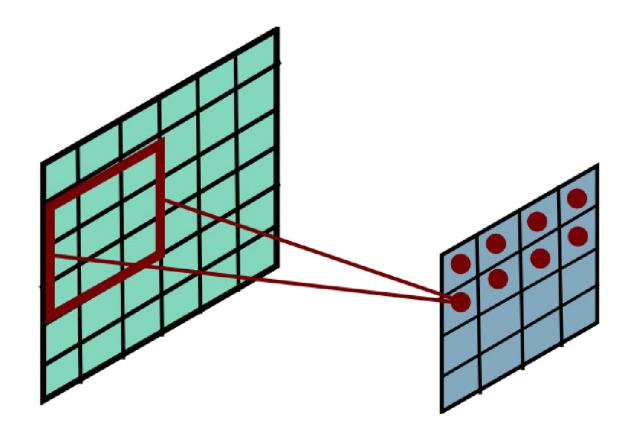




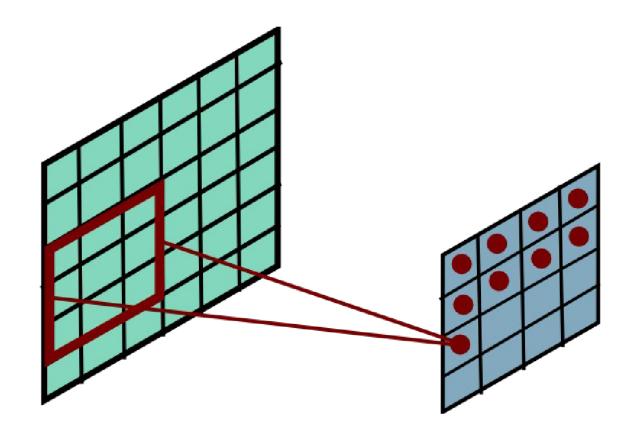




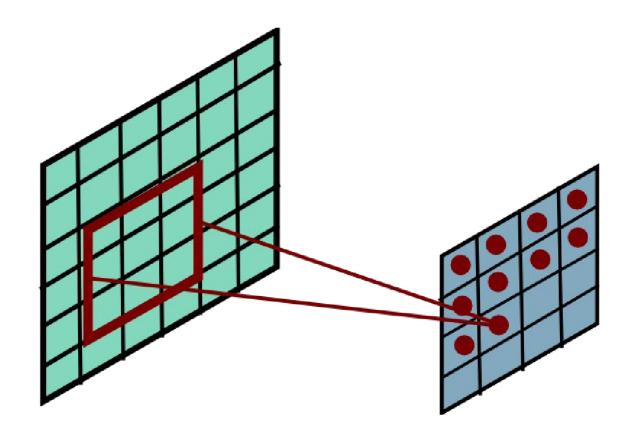




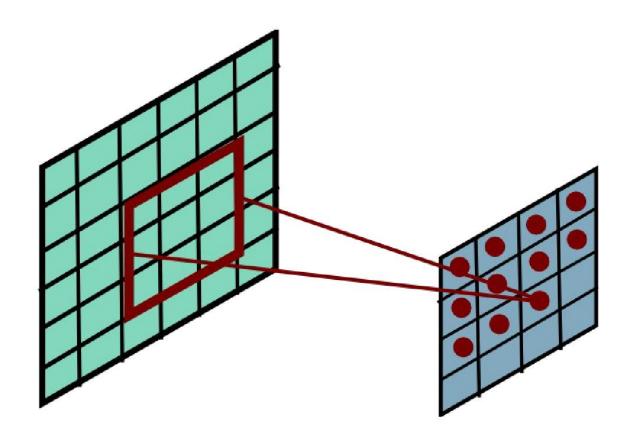




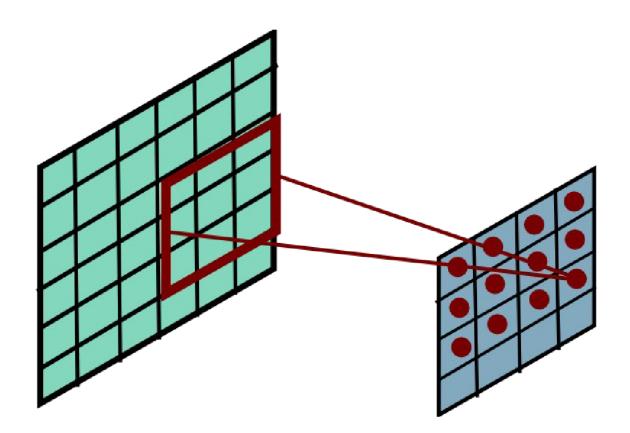




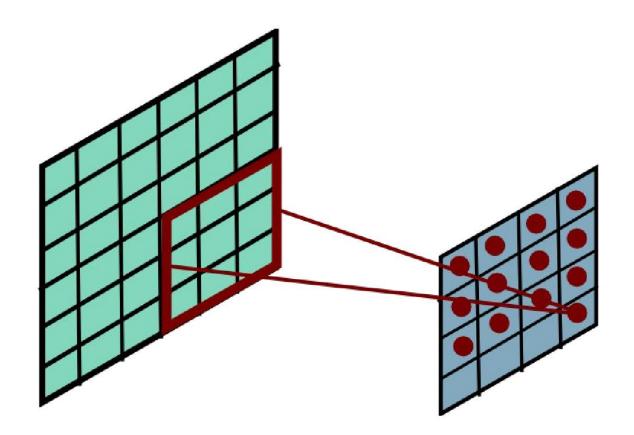




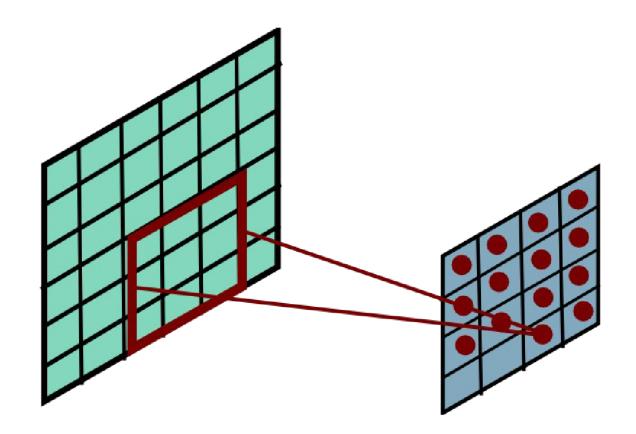




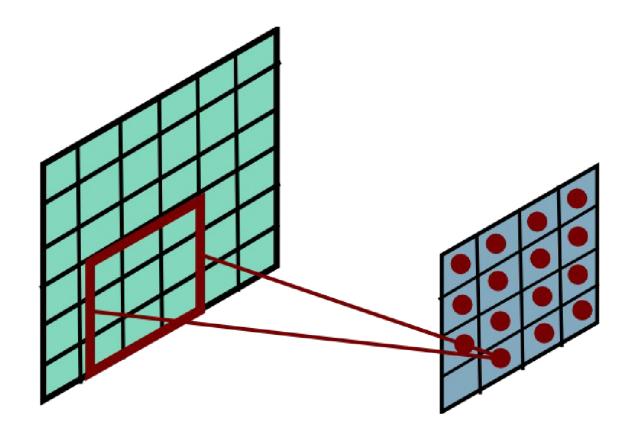




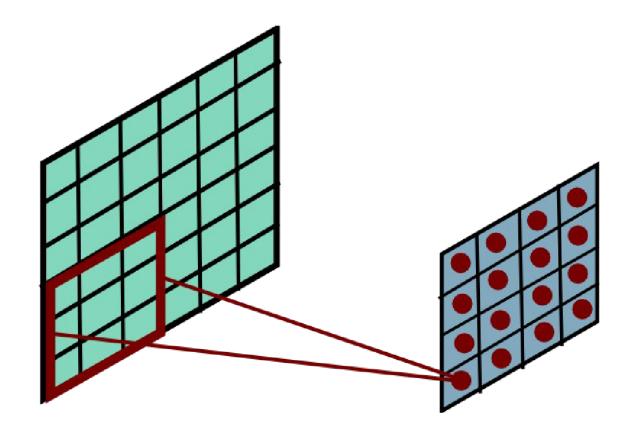




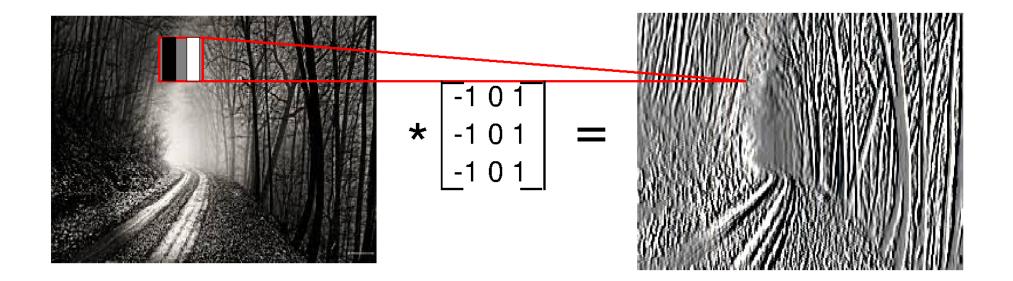




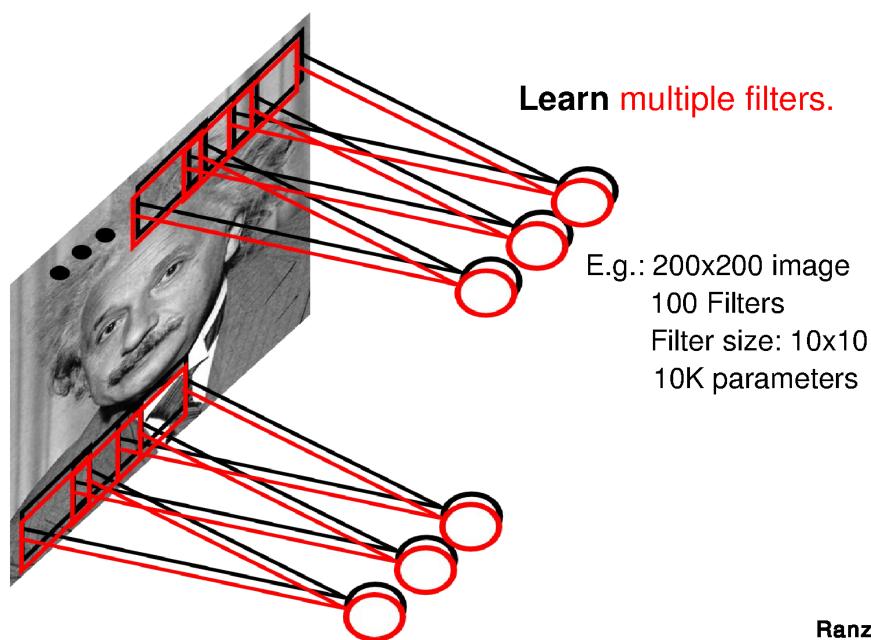




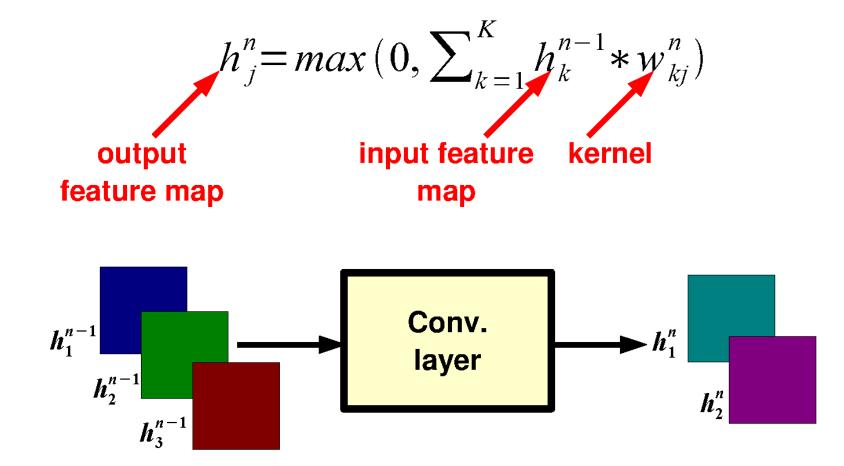




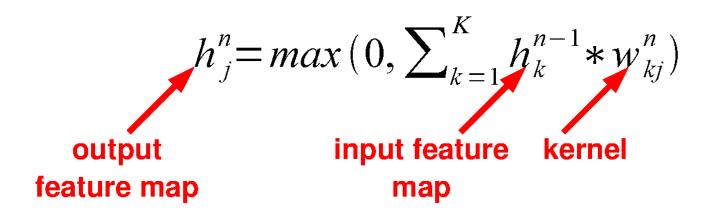


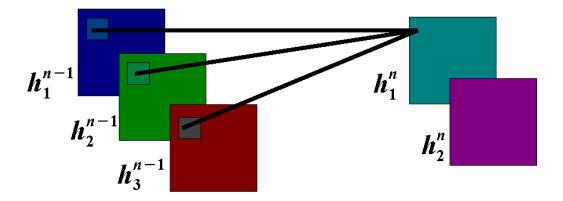




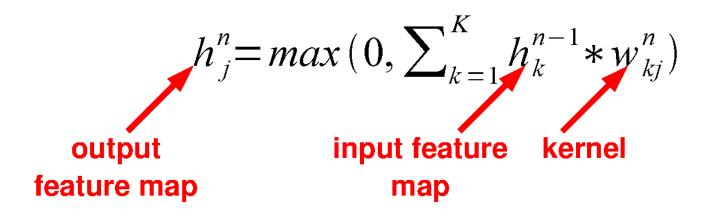


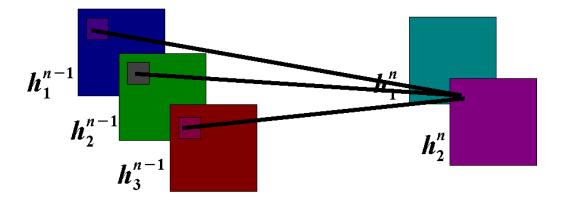














#### **Key Ideas**

A standard neural net applied to images:

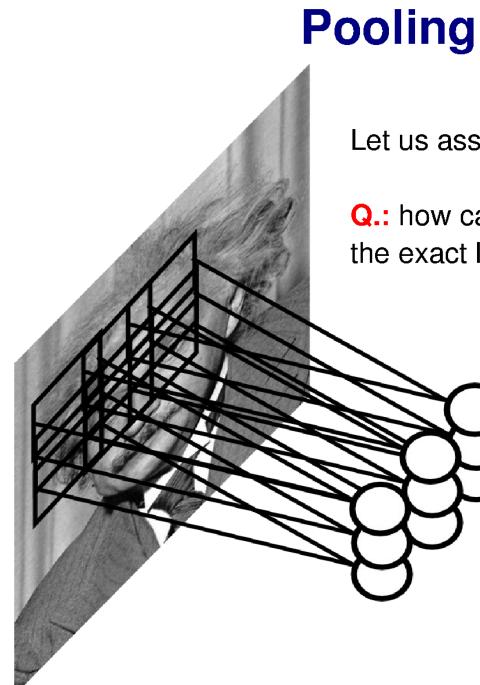
- scales quadratically with the size of the input
- does not leverage stationarity

Solution:

- connect each hidden unit to a small patch of the input
- share the weight across space

This is called: convolutional layer.

A network with convolutional layers is called **convolutional network**.

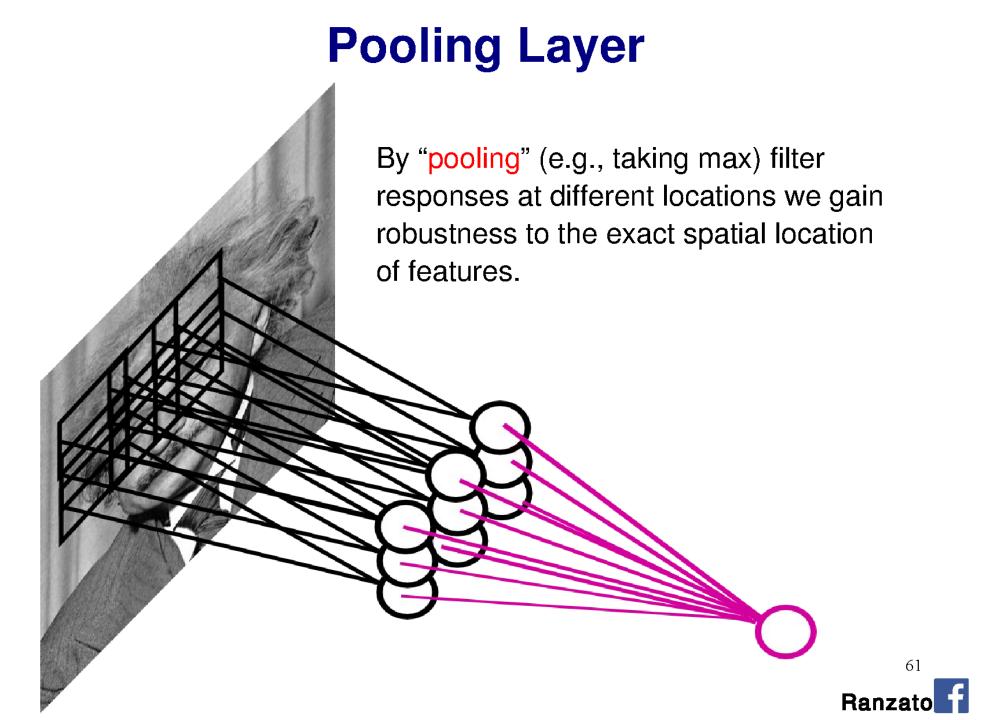


# **Pooling Layer**

Let us assume filter is an "eye" detector.

**Q.:** how can we make the detection robust to the exact location of the eye?





#### **Pooling Layer: Examples**

Max-pooling:

$$h_j^n(x, y) = \max_{\overline{x} \in N(x), \overline{y} \in N(y)} h_j^{n-1}(\overline{x}, \overline{y})$$

Average-pooling:

$$h_{j}^{n}(x, y) = 1/K \sum_{\bar{x} \in N(x), \bar{y} \in N(y)} h_{j}^{n-1}(\bar{x}, \bar{y})$$

L2-pooling:

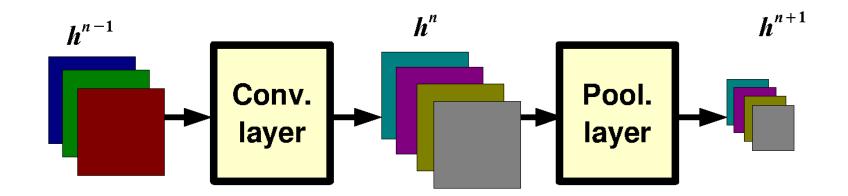
$$h_{j}^{n}(x, y) = \sqrt{\sum_{\bar{x} \in N(x), \bar{y} \in N(y)} h_{j}^{n-1}(\bar{x}, \bar{y})^{2}}$$

L2-pooling over features:

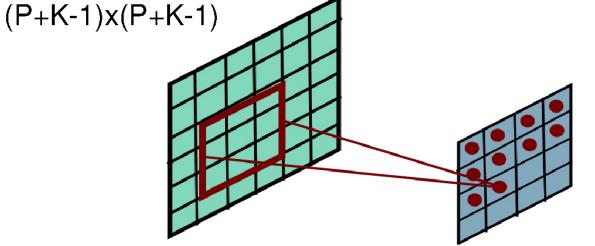
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#### **Pooling Layer: Receptive Field Size**

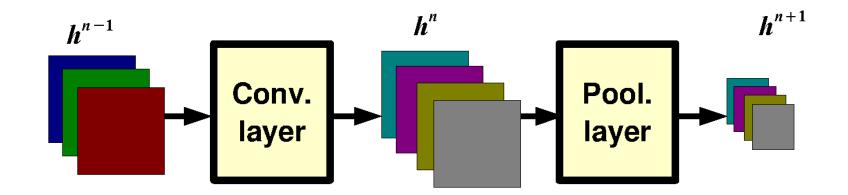


If convolutional filters have size KxK and stride 1, and pooling layer has pools of size PxP, then each unit in the pooling layer depends upon a patch (at the input of the preceding conv. layer) of size:

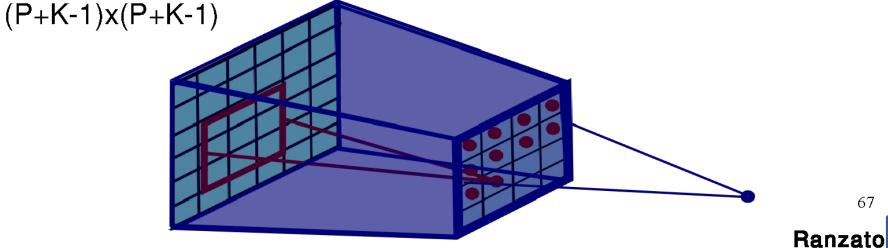


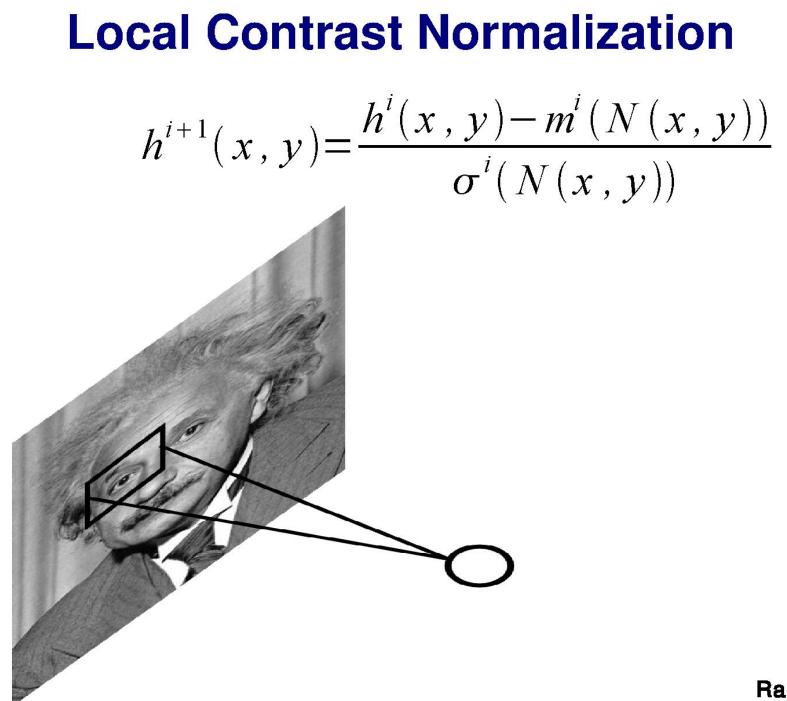


#### **Pooling Layer: Receptive Field Size**



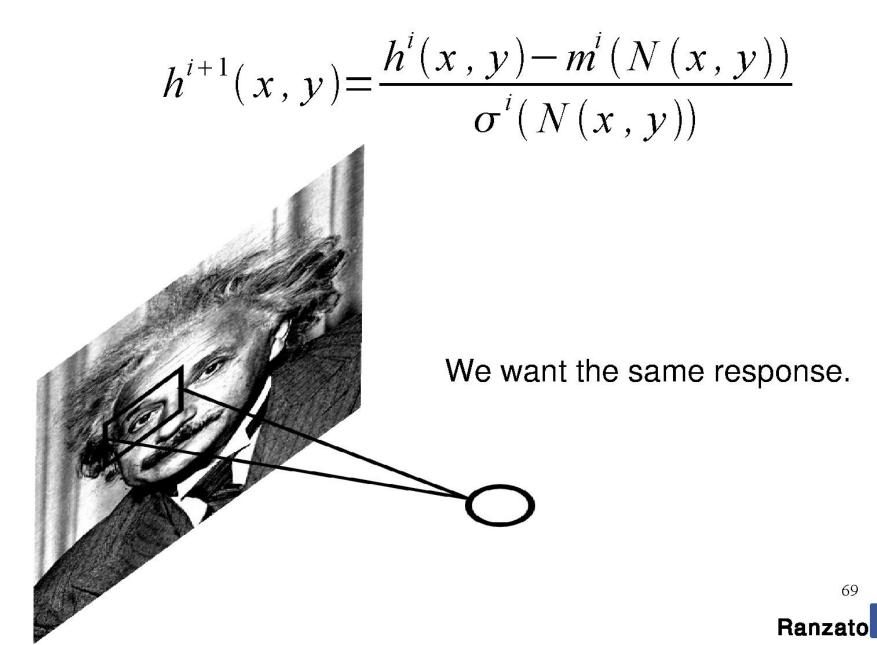
If convolutional filters have size KxK and stride 1, and pooling layer has pools of size PxP, then each unit in the pooling layer depends upon a patch (at the input of the preceding conv. layer) of size:







### **Local Contrast Normalization**



### **Local Contrast Normalization**

$$h^{i+1}(x, y) = \frac{h^{i}(x, y) - m^{i}(N(x, y))}{\sigma^{i}(N(x, y))}$$

Performed also across features and in the higher layers..

Effects:

- improves invariance
- improves optimization
- increases sparsity

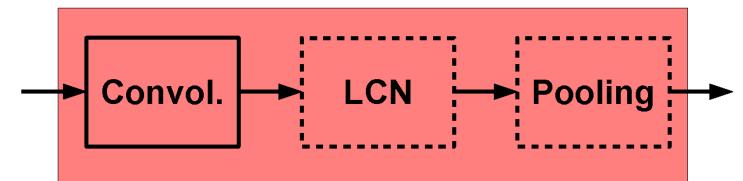
Note: computational cost is negligible w.r.t. conv. layer.

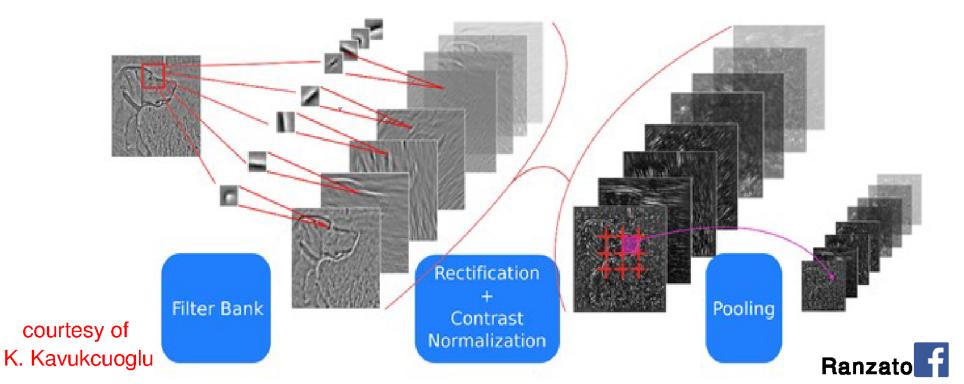


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## **ConvNets: Typical Stage**

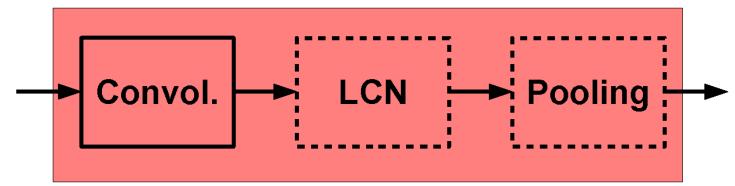
#### One stage (zoom)





# **ConvNets: Typical Stage**

#### One stage (zoom)

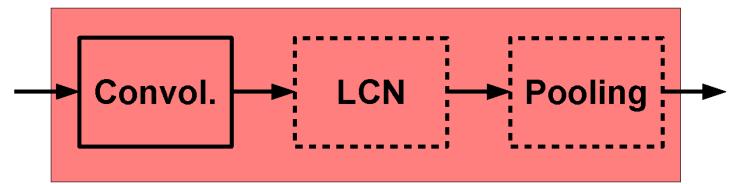


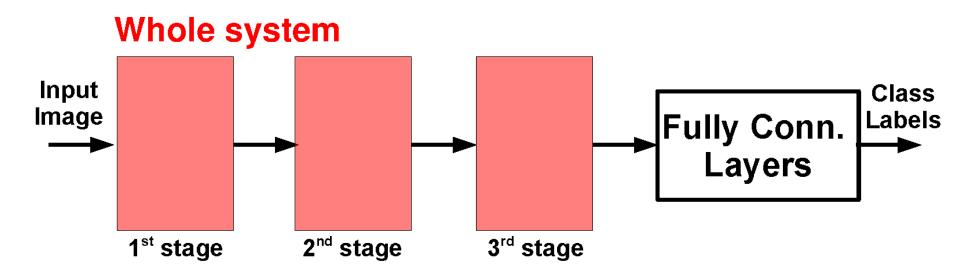
Conceptually similar to: SIFT, HoG, etc.



## **ConvNets: Typical Architecture**

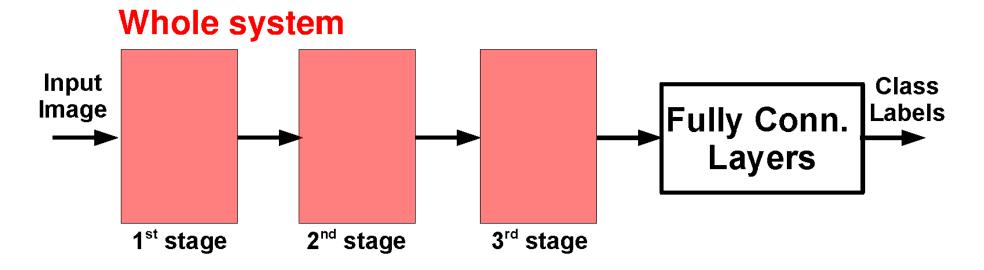
### One stage (zoom)







# **ConvNets: Typical Architecture**



Conceptually similar to:

SIFT  $\rightarrow$  K-Means  $\rightarrow$  Pyramid Pooling  $\rightarrow$  SVM Lazebnik et al. "...Spatial Pyramid Matching..." CVPR 2006

SIFT  $\rightarrow$  Fisher Vect.  $\rightarrow$  Pooling  $\rightarrow$  SVM Sanchez et al. "Image classification with F.V.: Theory and practice" IJCV 2012



Learning method	Ease of configuration
Neural Network	1
Nearest Neighbor	10
Linear SVM	10
Non-linear SVM	5
Decision Tree or Random Forest	4

Learning method	Ease of configuration	Ease of interpretation
Neural Network	1	1
Nearest Neighbor	10	10
Linear SVM	10	9
Non-linear SVM	5	4
Decision Tree or Random Forest	4	4

Learning method	Ease of configuration	Ease of interpretation	Speed / memory when training
Neural Network	1	1	1
Nearest Neighbor	10	10	8
Linear SVM	10	9	10
Non-linear SVM	5	4	2
Decision Tree or Random Forest	4	4	4

Learning method	Ease of configuration	Ease of interpretation	Speed / memory when training	Speed / memory at test time
Neural Network	1	1	1	6
Nearest Neighbor	10	10	8	4
Linear SVM	10	9	10	10
Non-linear SVM	5	4	2	2
Decision Tree or Random Forest	4	4	4	8

Learning method	Ease of configuration	Ease of interpretation	Speed / memory when training	Speed / memory at test time	Accuracy w/ lots of data
Neural Network	1	1	1	6	10
Nearest Neighbor	10	10	8	4	7
Linear SVM	10	9	10	10	5
Non-linear SVM	5	4	2	2	8
Decision Tree or Random Forest	4	4	4	8	7

Learning method	Ease of configu		Ease of interpretation	Speed / memory when training	Speed / memory at test time	Accuracy w/ lots of data	
Neural Network	1		1	1	6	10	
Nearest Neighbor	10		10	8	4	7	
Linear SVM	10	Representation design matters					
Non-linear SVM	5	more for all of these					
Decision Tree or Random Forest	4						