



DATABASE SYSTEM IMPLEMENTATION

GT 4420/6422 // SPRING 2019 // @JOY_ARULRAJ

LECTURE #18: OPTIMISTIC CONCURRENCY CONTROL

CREATING THE NEXT

LOGISTICS

Reminder: Project Updates due on next Tuesday (Apr 2).

Grading Scheme: No Final Exam.

- → 50% Project
- → 30% Homework
- → 15% Exam
- → 5% Reading Reviews

ANATOMY OF A DATABASE SYSTEM

Connection Manager + Admission Control

Query Parser

Query Optimizer

Query Executor

Lock Manager (Concurrency Control)

Access Methods (or Indexes)

Buffer Pool Manager

Log Manager

Memory Manager + Disk Manager

Networking Manager

Process Manager

Query Processor

Transactional Storage Manager

Shared Utilities

Query

Source: <u>Anatomy of a Database System</u>

TODAY'S AGENDA

Stored Procedures
Optimistic Concurrency Control
Modern OCC Implementations

OBSERVATION

Disk stalls are (almost) gone when executing txns in an in-memory DBMS.

There are still other stalls when an app uses **conversational** API to execute queries on DBMS

- \rightarrow ODBC/JDBC
- → DBMS-specific wire protocols

Application

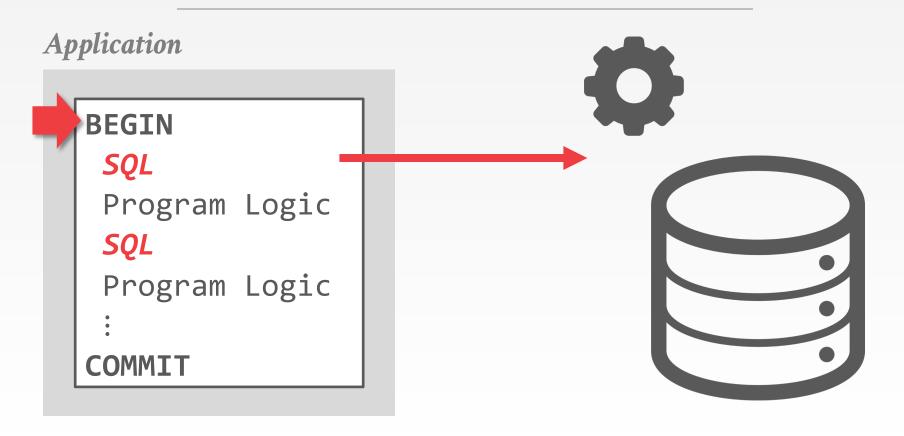
BEGIN SQL Program Logic SQL Program Logic **COMMIT**

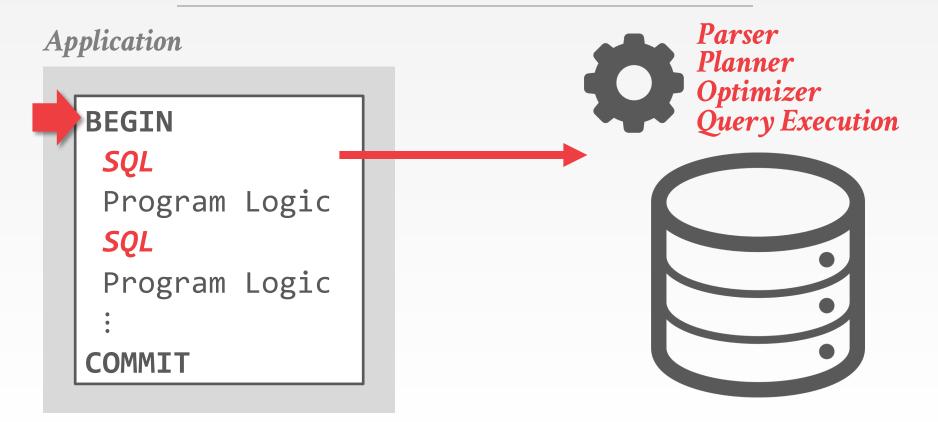


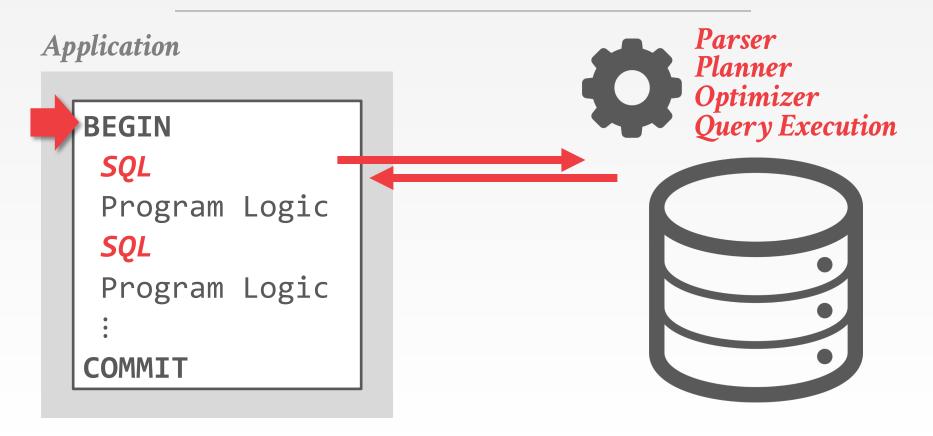
Application

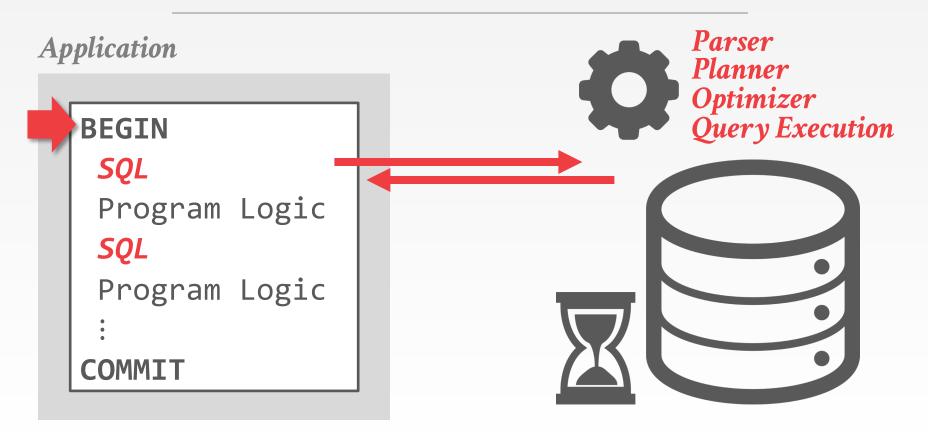


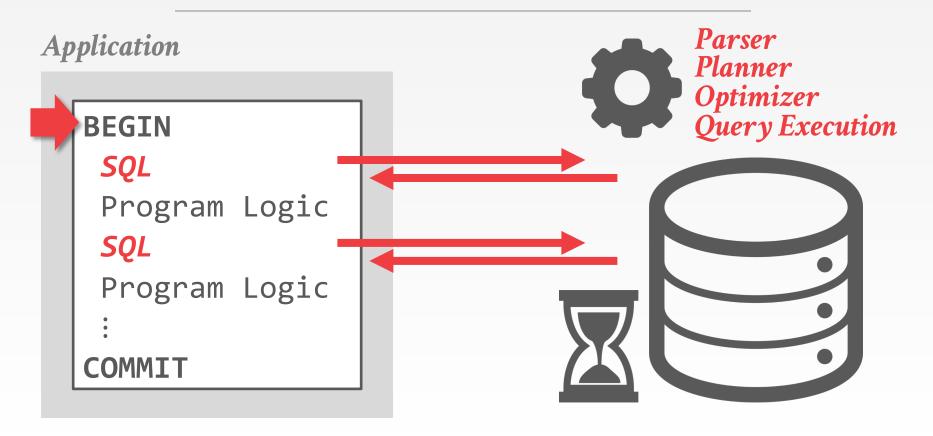


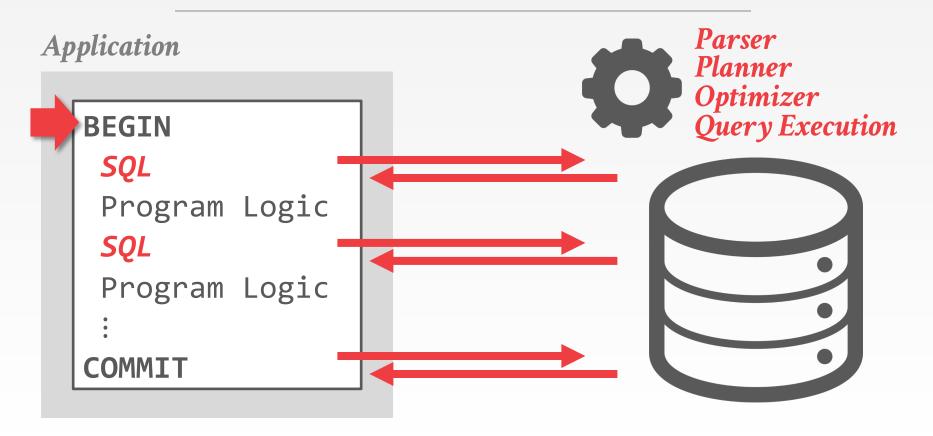












SOLUTIONS

Prepared Statements

→ Removes query preparation overhead.

Query Batches

→ Reduces the number of network roundtrips.

Stored Procedures

→ Removes both preparation and network stalls.

A **stored procedure** is a group of queries that form a logical unit and perform a particular task on behalf of an application directly inside of the DBMS.

Programming languages:

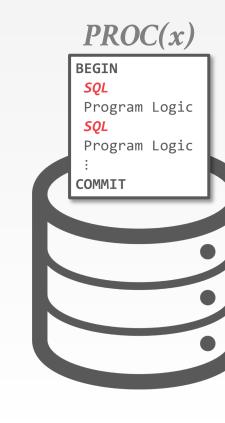
- → **SQL/PSM** (standard)
- → **PL/SQL** (Oracle / IBM / MySQL)
- → **PL/pgSQL** (Postgres)
- → **Transact-SQL** (Microsoft / Sybase)

Application

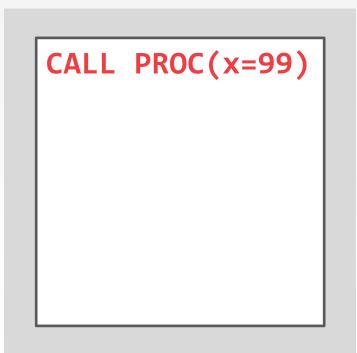
BEGIN SQL Program Logic SQL Program Logic **COMMIT**



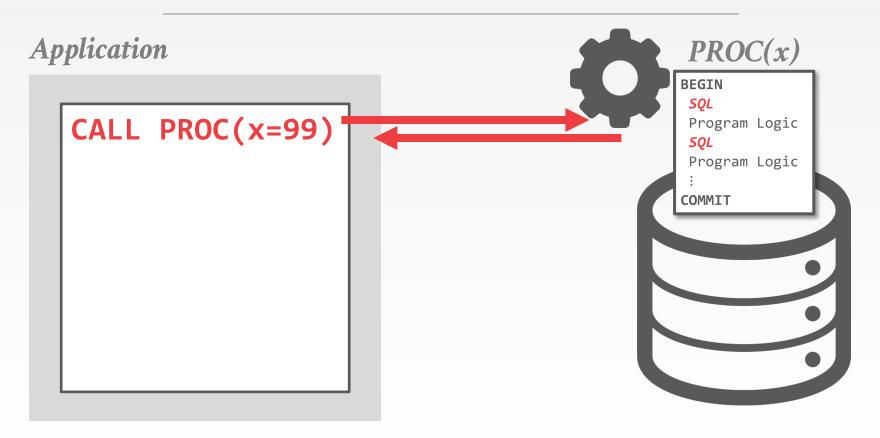
Application



Application



PROC(x)**BEGIN** SQL Program Logic **SQL** Program Logic COMMIT



STORED PROCEDURE EXAMPLE

```
CREATE PROCEDURE testProc
  (num INT, name VARCHAR) RETURNS INT
  BEGIN
    DECLARE cnt INT DEFAULT 0;
    LOOP
      INSERT INTO student VALUES (cnt, name);
      SET cnt := cnt + 1;
      IF (cnt > num) THEN
        RETURN cnt;
      END IF;
    END LOOP;
  END;
```

ADVANTAGES

Reduce the number of round trips between application and database servers.

Increased performance because queris are precompiled and stored in DBMS.

Procedure reuse across applications.

Server-side txn restarts on conflicts.

DISADVANTAGES

Not as many developers know how to write SQL/PSM code.

→ Safe Languages vs. Sandbox Languages

Outside the scope of the application so it is difficult to manage versions and hard to debug.

Probably not be portable to other DBMSs.

DBAs usually don't give permissions out freely...

CONCURRENCY CONTROL

The protocol to allow txns to access a database in a multi-programmed fashion while preserving the illusion that each of them is executing alone on a dedicated system.

→ The goal is to have the effect of a group of txns on the database's state is equivalent to any serial execution of all txns.

Provides <u>A</u>tomicity + <u>I</u>solation in ACID

CONCURRENCY CONTROL SCHEMES

Two-Phase Locking (2PL)

→ Assume txns will conflict so they must acquire locks on database objects before they are allowed to access them.

Timestamp Ordering (T/O)

→ Assume that conflicts are rare so txns do not need to first acquire locks on database objects and instead check for conflicts at commit time.







Txn #1



Growing Phase

Txn #1



Growing Phase

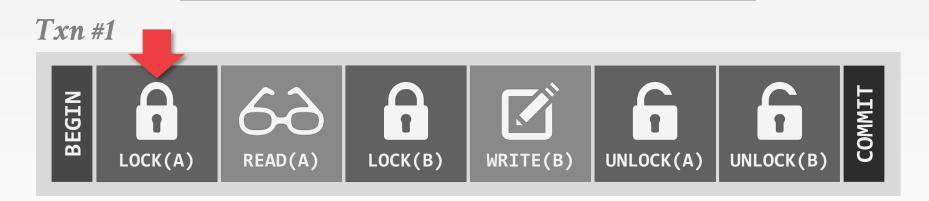
Shrinking Phase



Txn #1

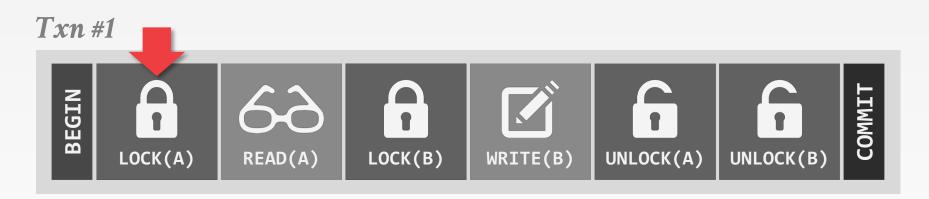






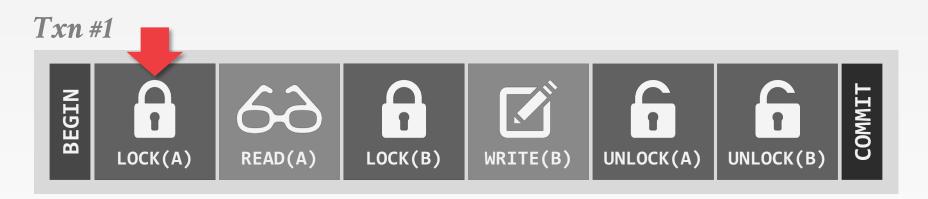
Txn #2





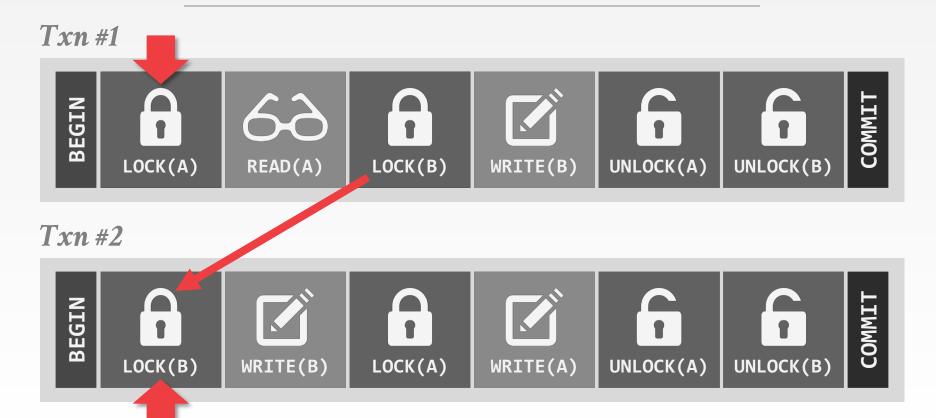
Txn #2

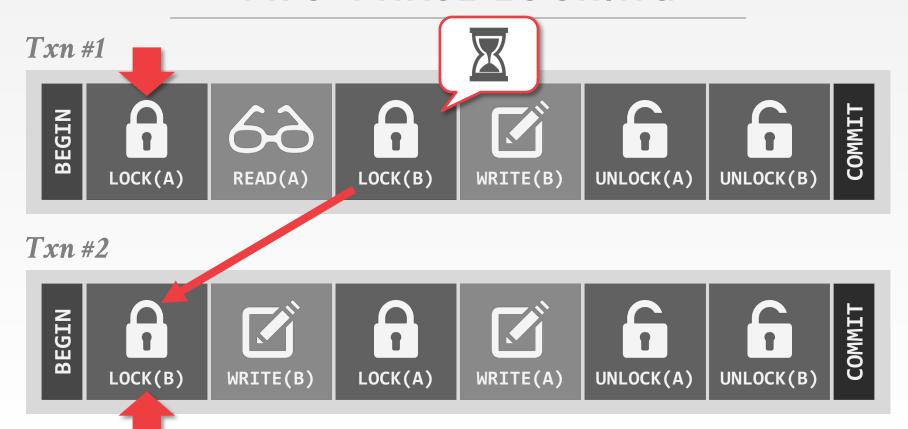




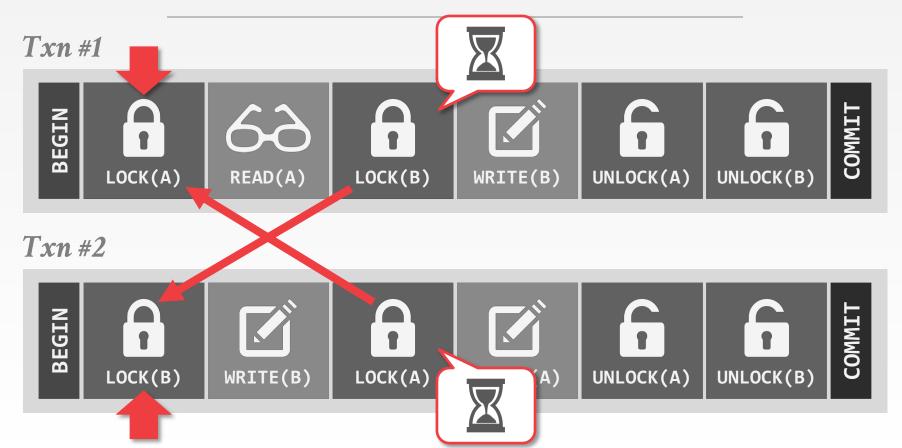
Txn #2







TWO-PHASE LOCKING



TWO-PHASE LOCKING



TWO-PHASE LOCKING

Deadlock Detection

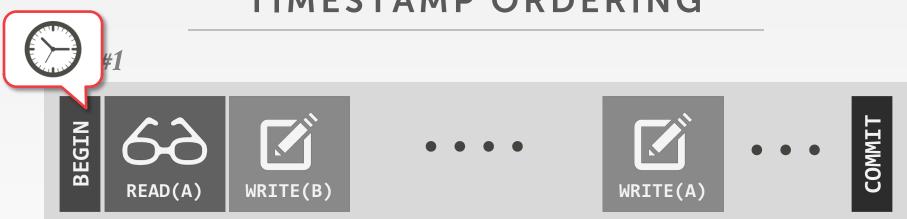
- → Each txn maintains a queue of the txns that hold the locks that it waiting for.
- → A separate thread checks these queues for deadlocks.
- → If deadlock found, use a heuristic to decide what txn to kill in order to break deadlock.

Deadlock Prevention

- → Check whether another txn already holds a lock when another txn requests it.
- → If lock is not available, the txn will either (1) wait, (2) commit suicide, or (3) kill the other txn.

Txn #1

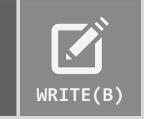






10001 #1

READ(A)



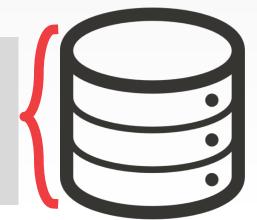


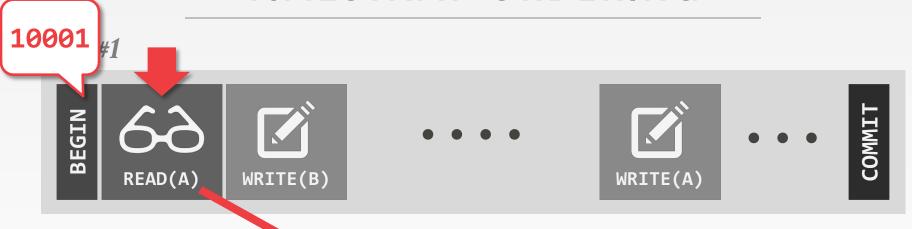




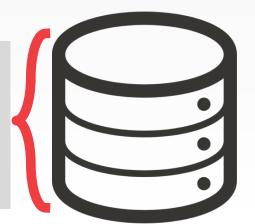


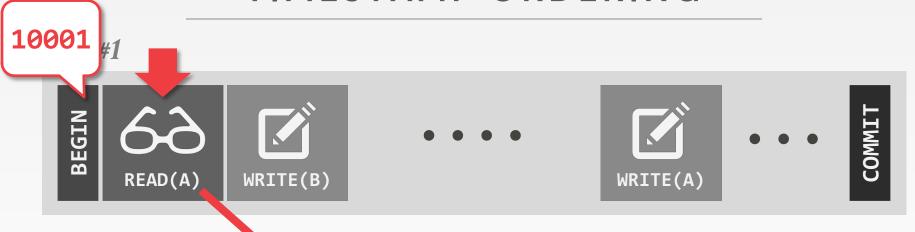
Record	Read Timestamp	Write Timestamp
А	10000	10000
В	10000	10000



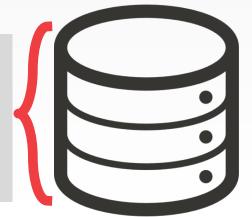


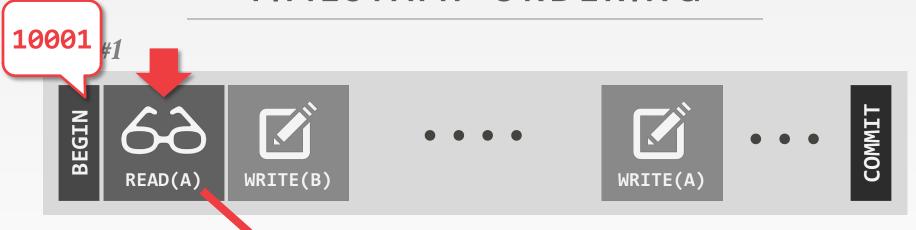
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А	10000	10000
В	10000	10000



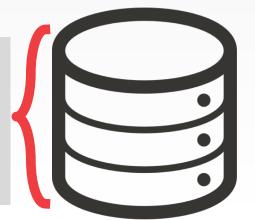


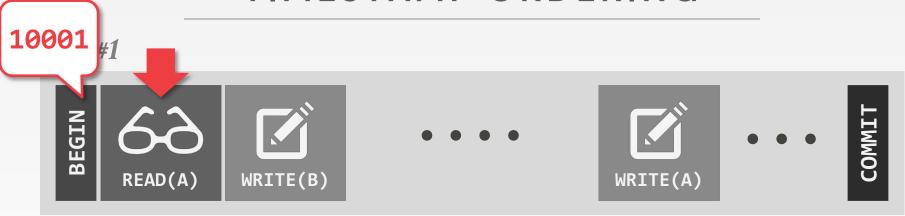
Recora	Read Timestamp	Write Timestamp
А	10000	10000
В	10000	10000



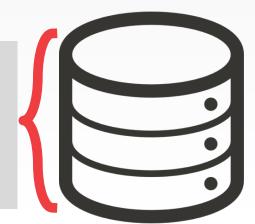


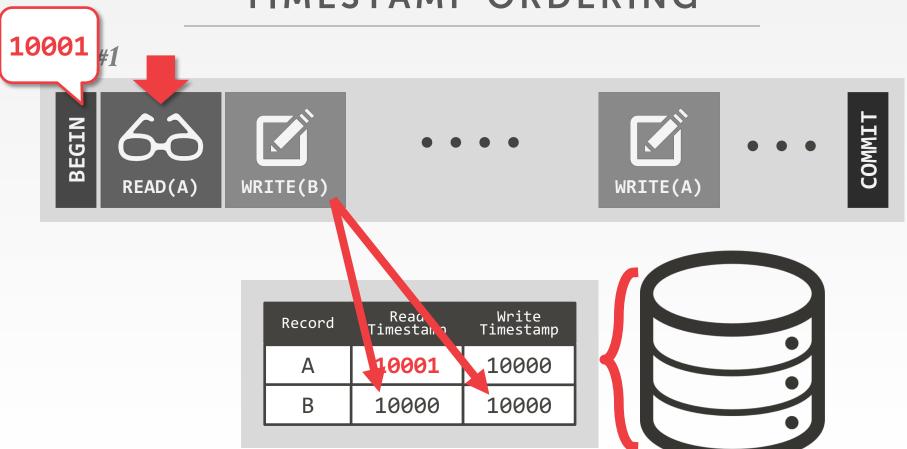
Recora	Read Timestamp	Write Timestamp
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В	10000	10000

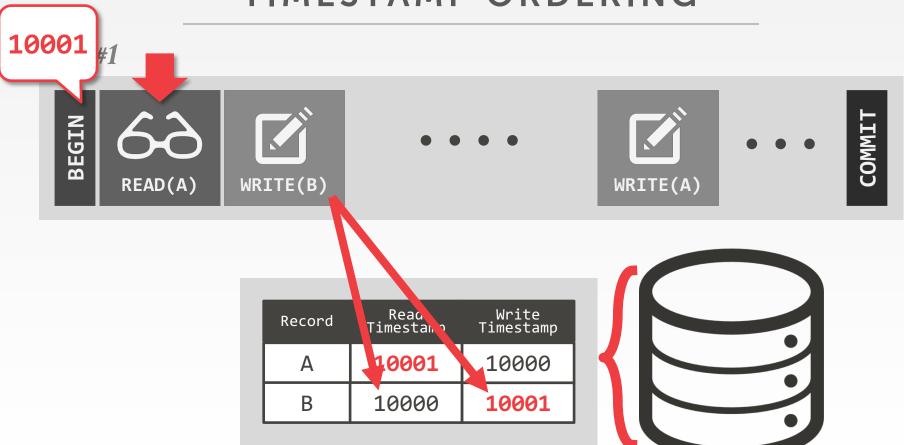


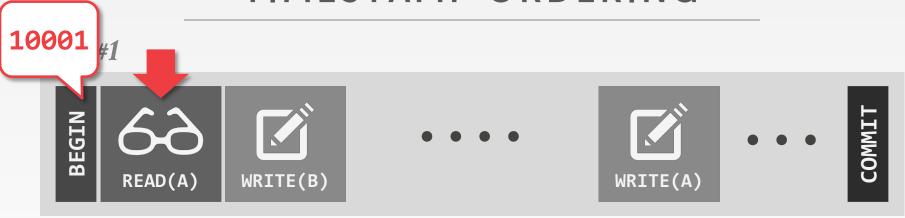


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А	10001	10000
В	10000	10000

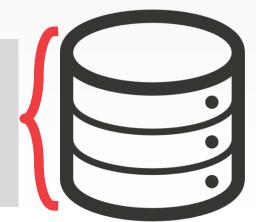


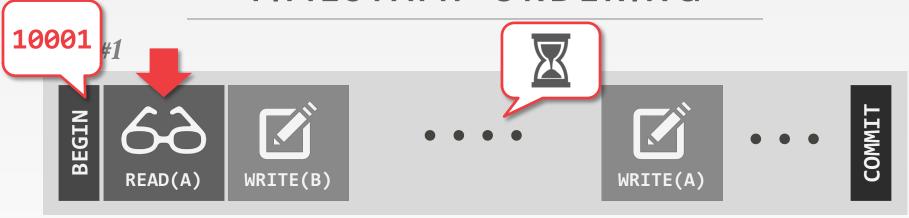




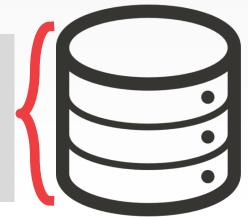


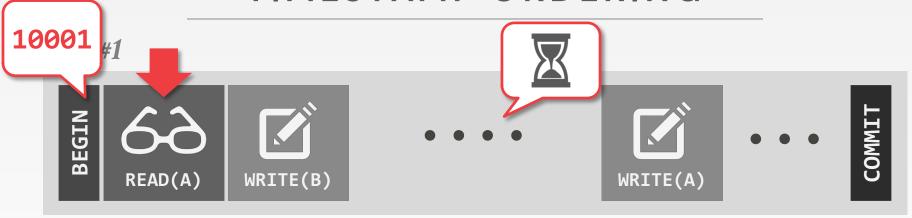
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А	10001	10000
В	10000	10001



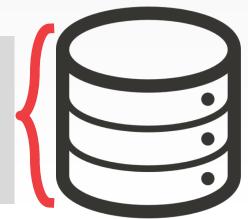


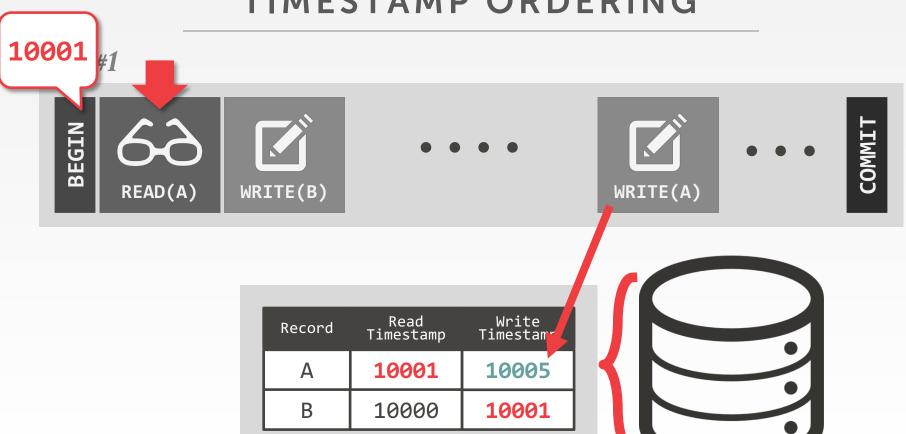
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В	10000	10001

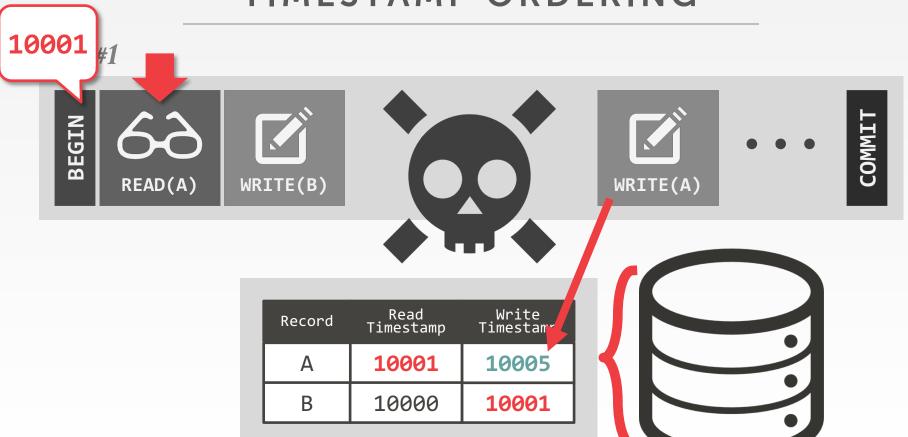




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В	10000	10001







Basic T/O

- → Check for conflicts on each read/write.
- → Copy tuples on each access to ensure repeatable reads.

Optimistic Currency Control (OCC)

- → Store all changes in private workspace.
- \rightarrow Check for conflicts at commit time and then merge.

Timestamp-ordering scheme where txns copy data read/write into a private workspace that is not visible to other active txns.

When a txn commits, the DBMS verifies that there are no conflicts.

First proposed in 1981 at CMU by H.T. Kung.

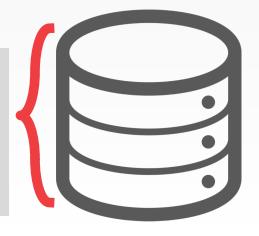
Txn #1

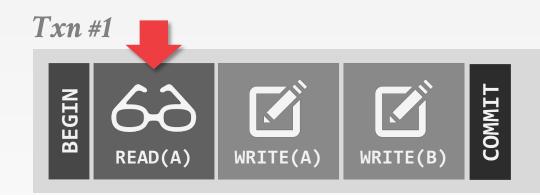


Txn #1

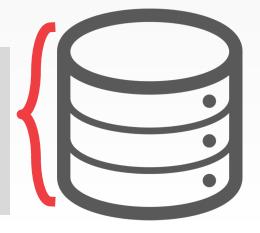


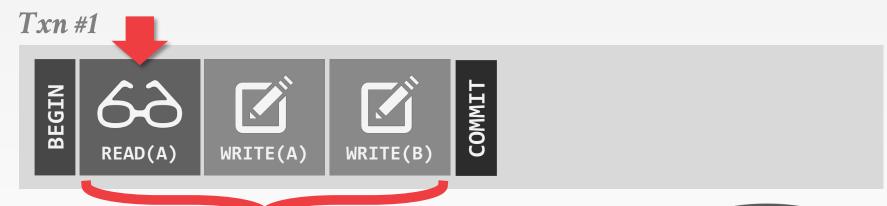
Record	Value	Write Timestamp
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В	456	10000





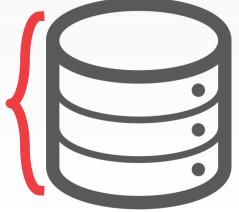
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В	456	10000

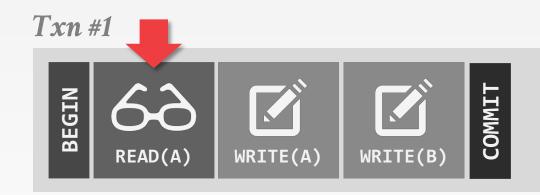




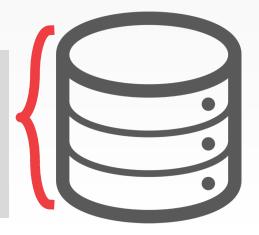
Read Phase

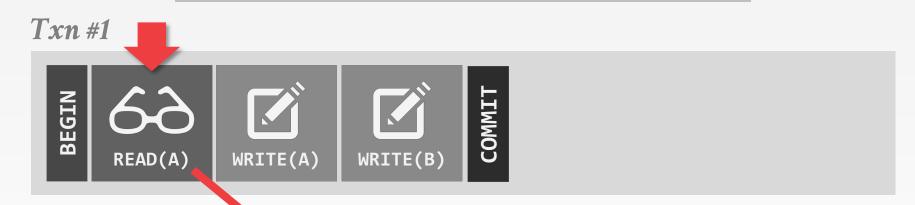
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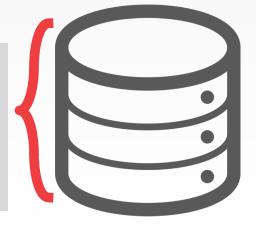


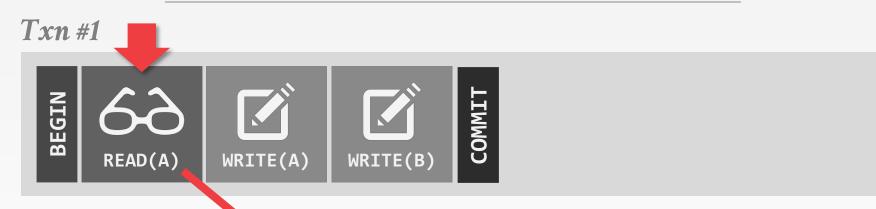
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В	456	10000





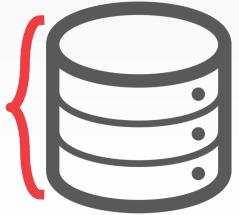
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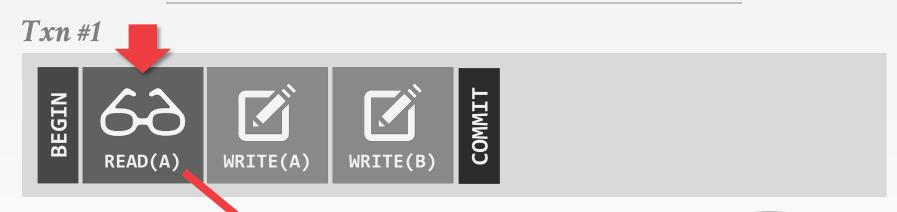




	Record	Value	Write Timestamp
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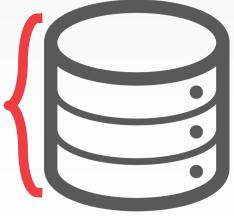
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В	456	10000

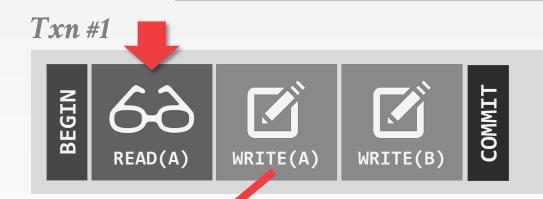




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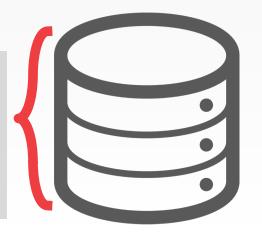
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В	456	10000

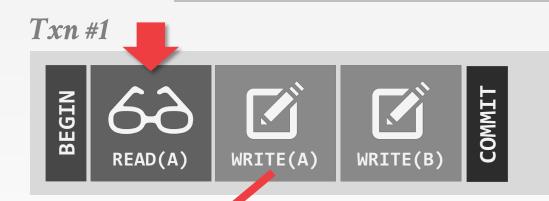




A 123 10000	Record	Value	Write Timestamp
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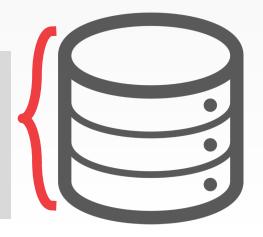
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В	456	10000

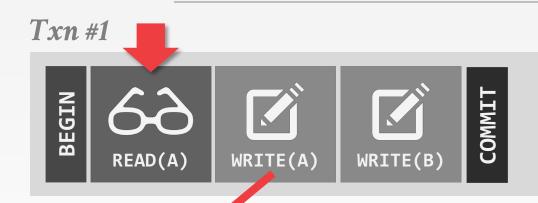




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А	123	10000

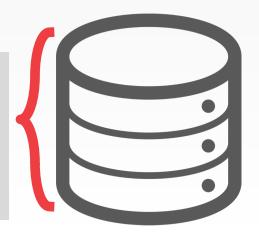
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В	456	10000

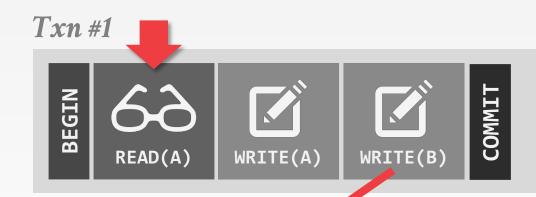




Record	√alue	Write Timestamp
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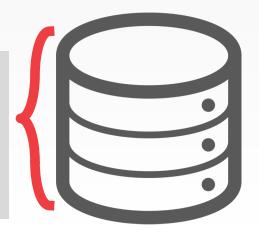
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В	456	10000

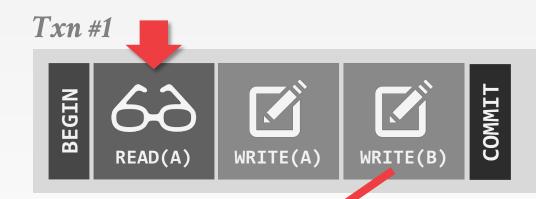






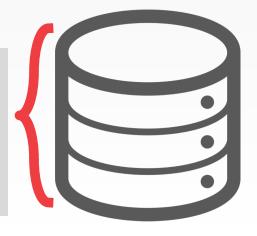
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В	456	10000

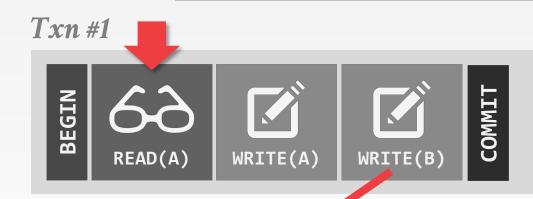




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В	456	10000	

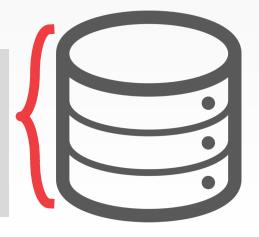
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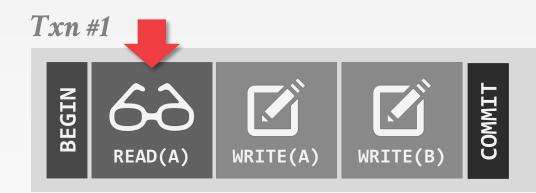




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В	999	00	

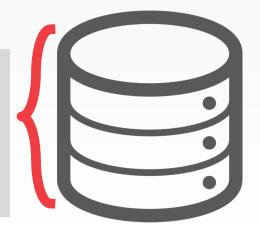
Record	Value	Write Timestamp
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В	456	10000

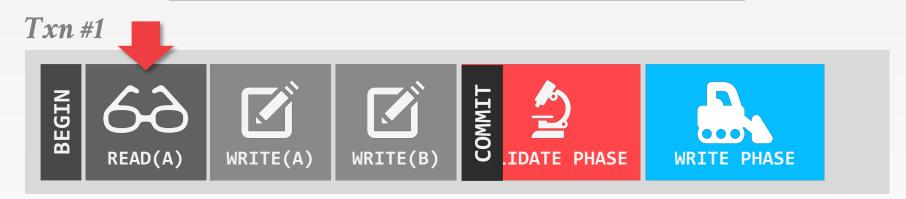




Record	Value	Write Timestamp
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В	999	00

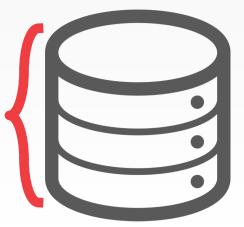
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В	456	10000

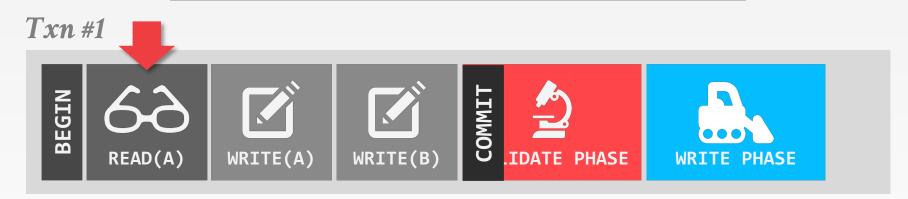




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В	999	00

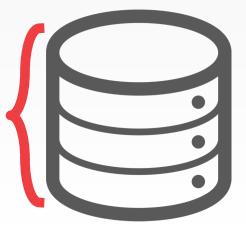
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В	456	10000





Record	Value	Write Timestamp
А	888	∞
В	999	00

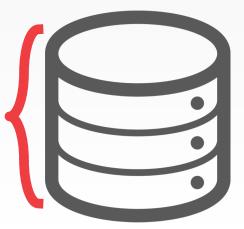
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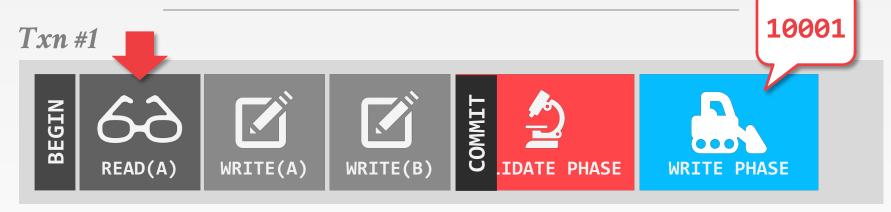




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В	999	00

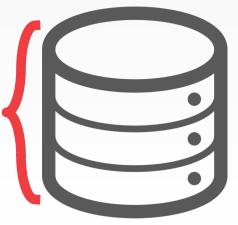
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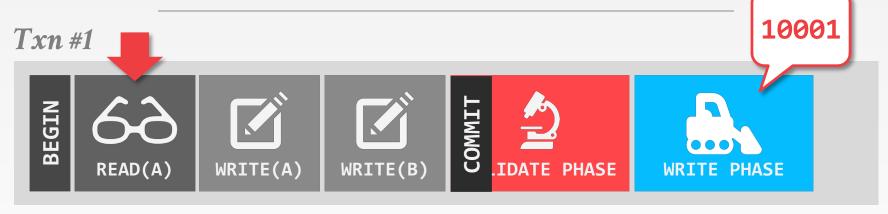




Record	Value	Write Timestamp	
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В	999	00	

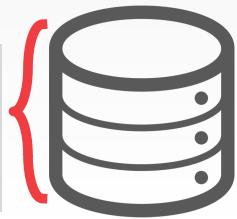
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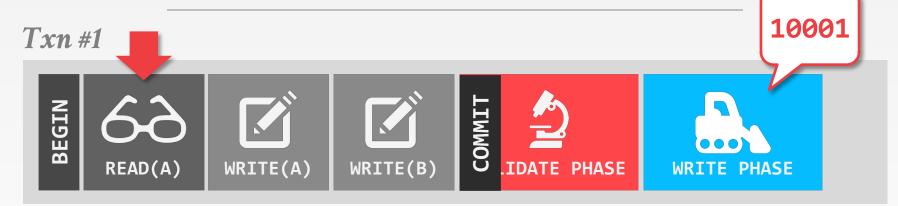




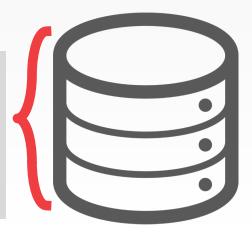
Record	Value	Write Timestamp	
Α	888	∞	
В	999	00	

Record	Value	Write Timestamp
А	888	10001
В	999	10001





Record	Value	Write Timestamp
А	888	10001
В	999	10001



READ PHASE

Track the read/write sets of txns and store their writes in a private workspace.

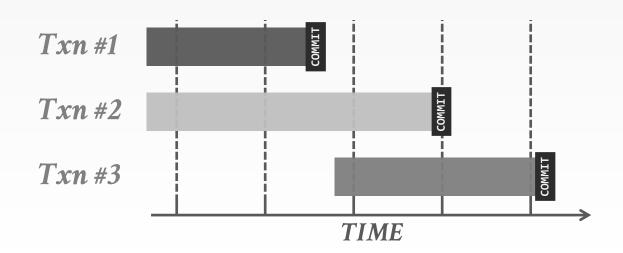
The DBMS copies every tuple that the txn accesses from the shared database to its workspace ensure repeatable reads.

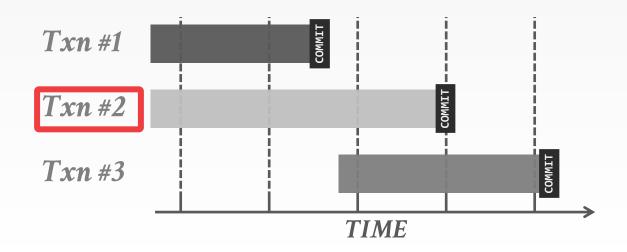
VALIDATION PHASE

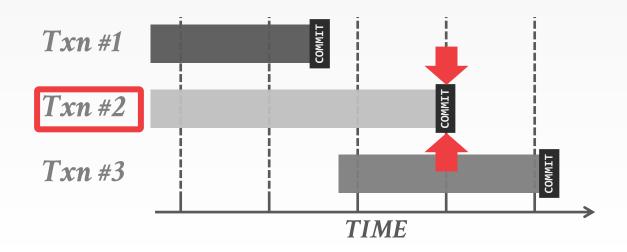
When the txn invokes **COMMIT**, the DBMS checks if it conflicts with other txns.

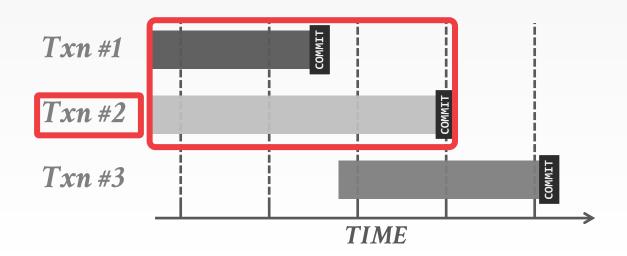
Two methods for this phase:

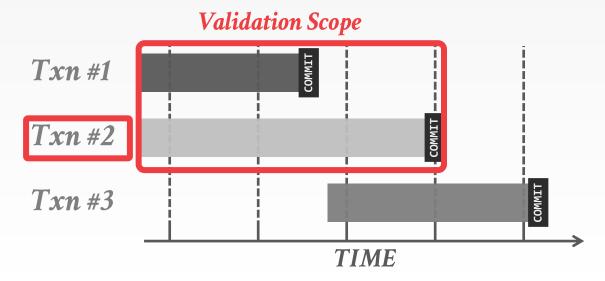
- → Backward Validation
- → Forward Validation





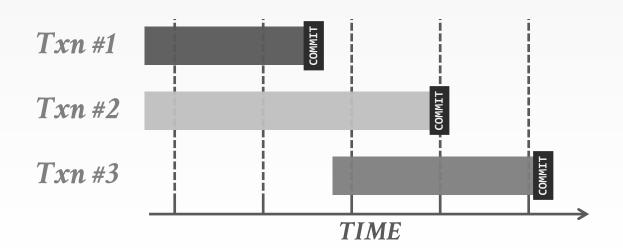






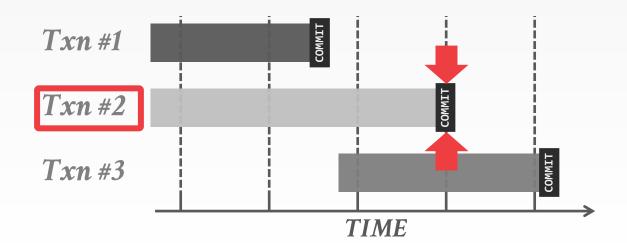
FORWARD VALIDATION

Check whether the committing txn intersects its read/write sets with any active txns that have **not** yet committed.



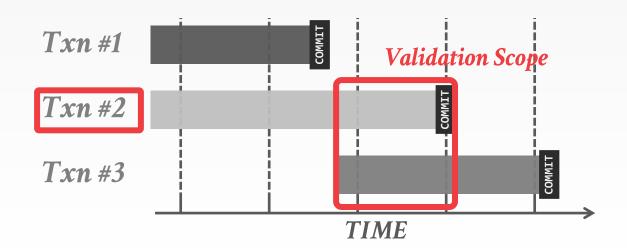
FORWARD VALIDATION

Check whether the committing txn intersects its read/write sets with any active txns that have **not** yet committed.



FORWARD VALIDATION

Check whether the committing txn intersects its read/write sets with any active txns that have **not** yet committed.



VALIDATION PHASE

Original OCC uses serial validation.

Parallel validation means that each txn must check the read/write sets of other txns that are trying to validate at the same time.

- → Each txn has to acquire locks for its write set records in some **global order**.
- \rightarrow The txn does not need locks for read set records.

WRITE PHASE

The DBMS propagates the changes in the txn's write set to the database and makes them visible to other txns.

As each record is updated, the txn releases the lock acquired during the Validation Phase.

TIMESTAMP ALLOCATION

Mutex

→ Worst option. Mutexes are the "Hitler of Concurrency".

Atomic Addition

→ Requires cache invalidation on write.

Batched Atomic Addition

→ Needs a back-off mechanism to prevent fast burn.

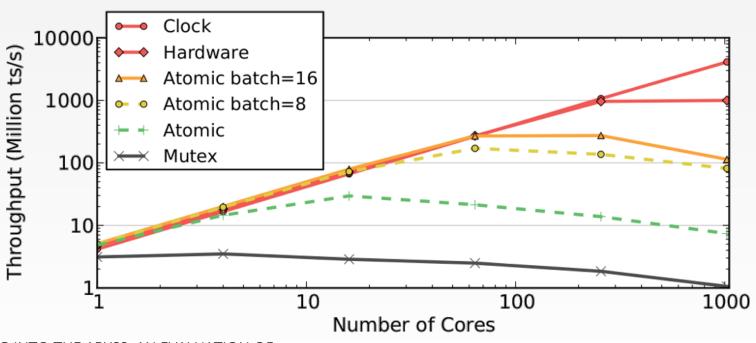
Hardware Clock

 \rightarrow Not sure if it will exist in future CPUs.

Hardware Counter

→ Not implemented in existing CPUs.

TIMESTAMP ALLOCATION



STARING INTO THE ABYSS: AN EVALUATION OF CONCURRENCY CONTROL WITH ONE THOUSAND CORES VLDB 2014

MODERN OCC

Harvard/MIT Silo MIT/CMU TicToc

SILO

Single-node, in-memory OLTP DBMS.

- → Serializable OCC with parallel backward validation.
- \rightarrow Stored procedure-only API.

No writes to shared-memory for read txns.

Batched timestamp allocation using epochs.

Pure awesomeness from Eddie Kohler.

Single

→ Seri

→ Sto1

No wi

Batch

Pure a

Session 18: Transactions and Consistency

Thursday 1:30-3:00

Grand Ballroom A

Session Chair: Andy Pavlo (CMU)

- TARDiS: A Branch-and-Merge Approach To Weak Consistency Natacha Crooks; Youer Pu; Nancy Estrada; Trinabh Gupta; Lorenzo Alvisi; Allen Clement
- TicToc: Time Traveling Optimistic Concurrency Control Xiangyao Yu; Andy Pavlo; Daniel Sanchez; Srinivas Devadas
- Scaling Multicore Databases via Constrained Parallel Execution Zhaoguo Wang; Yang Cui; Han Yi; Shuai Mu; haibo Chen; Jinyang Li
- Towards a Non-2PC Transaction Management in Distributed Database Systems

Qian Lin; Pengfei Chang; Gang Chen; Beng Chin Ooi; Kian-Lee Tan; Zhengkui Wang

 ERMIA: Fast memory-optimized database system for heterogeneous workloads

Kangnyeon Kim; Tianzheng Wang; Ryan Johnson; Ippokratis Pandis

 Transaction Healing: Scaling Optimistic Concurrency Control on Multicores

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SILO: EPOCHS

Time is sliced into fixed-length epochs (40ms).

All txns that start in the same epoch will be committed together at the end of the epoch.

→ Txns that span an epoch have to refresh themselves to be carried over into the next epoch.

Worker threads only need to synchronize at the beginning of each epoch.

Each worker thread generates a unique txn id based on the current epoch number and the next value in its assigned batch.



Each worker thread generates a unique txn id based on the current epoch number and the next value in its assigned batch.

Worker

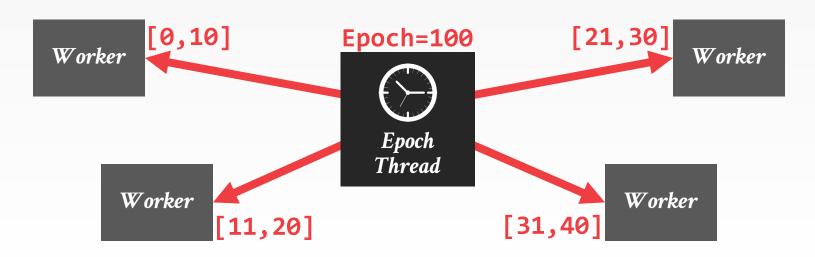
Epoch=100

Epoch
Thread

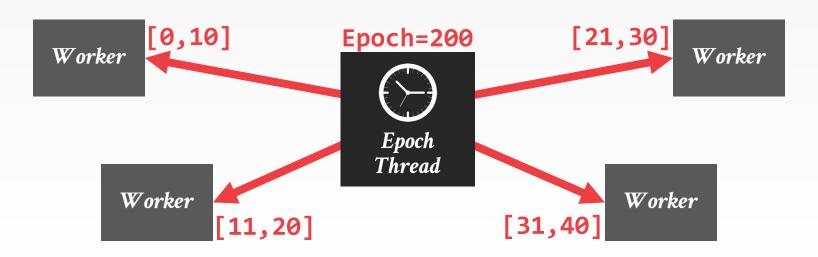
Worker

Worker

Each worker thread generates a unique txn id based on the current epoch number and the next value in its assigned batch.



Each worker thread generates a unique txn id based on the current epoch number and the next value in its assigned batch.



TID Word	ATTR1	ATTR2
#-###-#	John	\$100
#-###-#	Тирас	\$999
#-###-#	Wiz	\$67
#-###-#	0.D.B.	\$13

TID	Word	ATTR1	ATTR2
#-#	##-#	John	\$100
#-#:	##-#	Тирас	\$999
#-#:	##-#	Wiz	\$67
#-#	##-#	O.D.B.	\$13

EPOCH BATCH TIMESTAMP EXTRA

	TID Word	ATTR1	ATTR2
• •	#-###-#	John	\$100
	#-###-#	Тирас	\$999
	#-###-#	Wiz	\$67
	#-###-#	O.D.B.	\$13

EPOCH

BATCH TIMESTAMP EXTRA

Write Lock Bit Latest Version Bit Absent Bit

TID Word	ATTR1	ATTR2
#-###-#	John	\$100
#-###-#	Тирас	\$999
#-###-#	Wiz	\$67
#-###-#	0.D.B.	\$13

Workspace

Read Set

#-###-#	O.D.B.	\$13
#-###-#	Тирас	\$999

Write Set

Тирас	\$777
-------	-------

TID Word	ATTR1	ATTR2
#-###-#	John	\$100
#-###-#	Тирас	\$999
#-###-#	Wiz	\$67
#-###-#	O.D.B.	\$13

Workspace

Read Set

#-###-#	0.D.B.	\$13
#-###-#	Тирас	\$999

Write Set

Tupac \$777

Step #1: Lock Write Set

TID Word	ATTR1	ATTR2
#-###-#	John	\$100
#-###-#	Тирас	\$999
#-###-#	Wiz	\$67
#-###-#	0.D.B.	\$13



Step #1: Lock Write Set

	TID Word	ATTR1	ATTR2
	#-###-#	John	\$100
1	#-###-#	Тирас	\$999
	#-###-#	Wiz	\$67
	#-###-#	0.D.B.	\$13

Workspace

Tupac

#-###-# O.D.B. \$13 #-###-# Tupac \$999 Write Set

\$777

Step #1: Lock Write Set

Step #2: Examine Read Set

	TID Word	ATTR1	ATTR2
	#-###-#	John	\$100
1	#-###-#	Тирас	\$999
	#-###-#	Wiz	\$67
	#-###-#	0.D.B.	\$13

Workspace



Step #1: Lock Write Set

	TID Word	ATTR1	ATTR2
	#-###-#	John	\$100
1	#-###-#	Тирас	\$999
	#-###-#	Wiz	\$67
	#-###-#	0.D.B.	\$13

Workspace



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	#-###-#	John	\$100
1	#-###-#	Тирас	\$999
	#-###-#	Wiz	\$67
	#-###-#	0.D.B.	\$13

Step #1: Lock Write Set

Workspace

Read Set			
#-###-#	0.D.B.	\$13	
#-###-#	Тирас	\$999	\mathbb{I}
Write Set			
Тирас	\$777		
			77

	TID Word	ATTR1	ATTR2
	#-###-#	John	\$100
1	#-###-#	Тирас	\$999
	#-###-#	Wiz	\$67
	#-###-#	0.D.B.	\$13

Step #1: Lock Write Set

Workspace



Step #1: Lock Write Set

	TID Word	ATTR1	ATTR2
	#-###-#	John	\$100
1	#-###-#	Тирас	\$999
	#-###-#	Wiz	\$67
	#-###-#	0.D.B.	\$13

Workspace

#-##-# O.D.B. \$13 #-###-# Tupac \$999 Write Set Tupac \$777

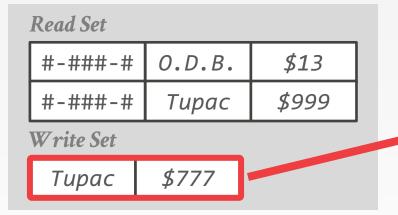
Step #1: Lock Write Set

Step #2: Examine Read Set

Step #3: Install Write Set

	TID Word	ATTR1	ATTR2
	#-###-#	John	\$100
1	#-###-#	Тирас	\$999
	#-###-#	Wiz	\$67
	#-###-#	0.D.B.	\$13

Workspace



Step #1: Lock Write Set

Step #2: Examine Read Set

Step #3: Install Write Set

	TID Word	ATTR1	ATTR2
	#-###-#	John	\$100
1	#-###-#	Тирас	\$777
	#-###-#	Wiz	\$67
	#-###-#	0.D.B.	\$13

SILO: GARBAGE COLLECTION

Cooperative threads GC.

Each worker thread marks a deleted object with a **reclamation epoch**.

- → This is the epoch after which no thread could access the object again, and thus can be safely removed.
- → Object references are maintained in thread-local storage to avoid unnecessary data movement.

SILO: RANGE QUERIES

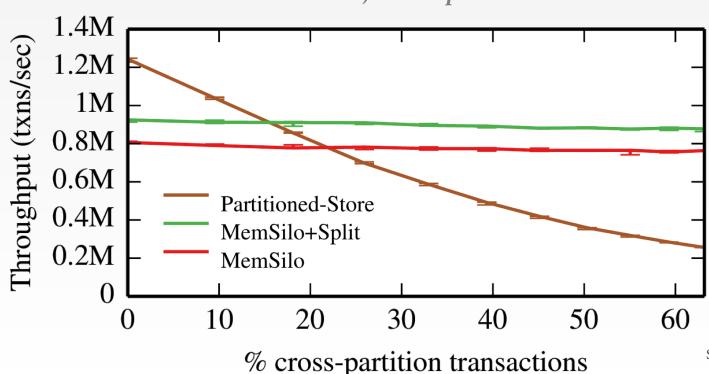
DBMS handles phantoms by tracking the txn's scan set (node set) on indexes.

- → Re-execute scans in the validation phase to see whether the index has changed.
- → Have to include "virtual" entries for keys that do not exist in the index.

We will discuss **key-range** and **index gap** locking next week...

SILO: PERFORMANCE

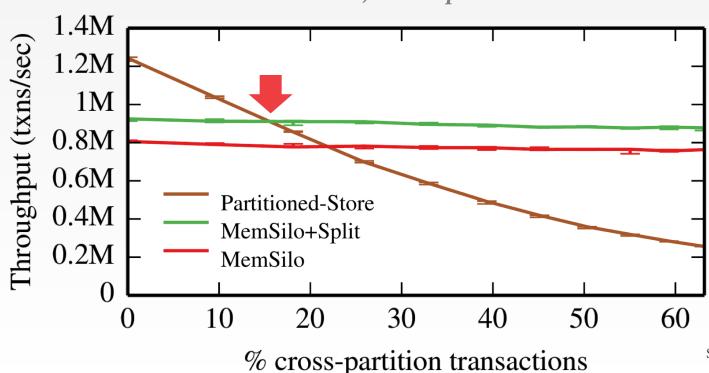
Database: TPC-C with 28 Warehouses Processor: 4 sockets, 8 cores per socket



Source: Eddie Kohler

SILO: PERFORMANCE

Database: TPC-C with 28 Warehouses Processor: 4 sockets, 8 cores per socket



Source: Eddie Kohler

PARTING THOUGHTS

Trade-off between aborting txns early or later.

- → **Early**: Avoid wasted work for txns that will eventually abort, but has checking overhead.
- → **Later**: No runtime overhead but lots of wasted work under high contention.

Silo is a very influential system.

NEXT CLASS

Multi-Version Concurrency Control

Paper ID 366

Title This is the Best Paper Ever on In-Memory Multi-Version Concurrency Control

Masked Meta-Reviewer ID: Meta_Reviewer_1 Meta-Reviews:

Question	
Overall Rating	Revise
Summary Comments	Dear Authors, Thank you for your submission to PVLDB Vol 10. We have now received the reviews for your manuscript as an "Experiments and Analyses Papers" paper from the Review Board. While the reviewers appreciate your research results, they have given a substantial amount of comments for your revision (enclosed). We encourage you to revise your paper taking into consideration of the reviewer comments, and submit an improved version of the manuscript in due course. Regards, Associate Editor
	- Remove "This is the Best Paper Ever" from the title and revise it to be scientific and reflect the experimental nature of the work.
	from design issues in the classification to make the taxonomy more general.