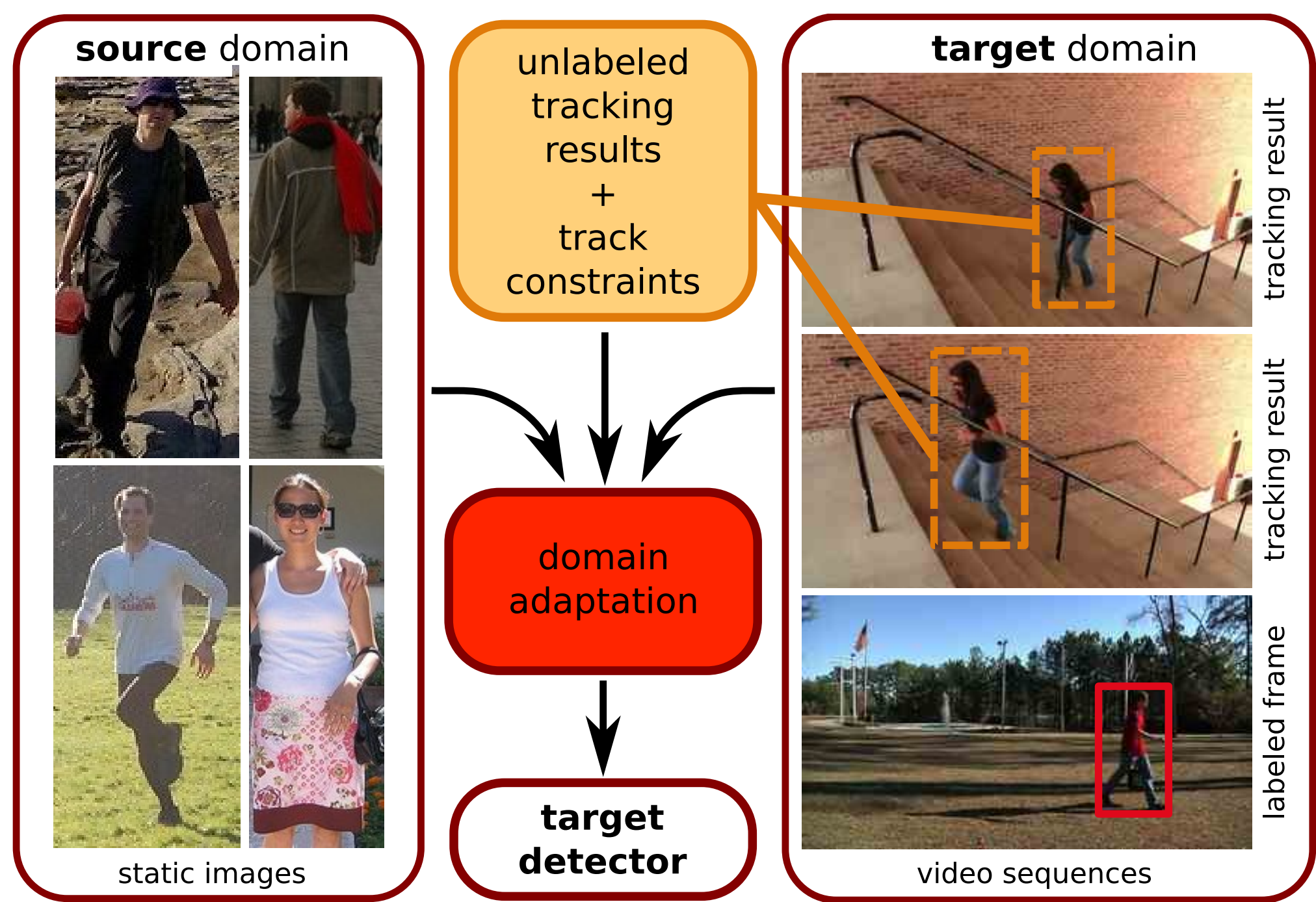


SEMI-SUPERVISED DOMAIN ADAPTATION WITH INSTANCE CONSTRAINTS



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PROBLEM



- Classification or detection in a *target domain* with little labeled data, but with a fully labeled *source domain*
- **Auxiliary information about the target domain is available in the form of *instance correspondences***
- We demonstrate this information can be useful in performing adaptation

ADAPTATION FORMULATION

- Traditional semi-supervised domain adaptation inputs:
 - Labeled source data $\mathcal{D} = \{(\mathbf{x}_i, y_i)\}$
 - Labeled target data $\tilde{\mathcal{D}}^L = \{(\tilde{\mathbf{x}}_j, \tilde{y}_j)\}$ (usually, $|\tilde{\mathcal{D}}^L| \ll |\mathcal{D}|$)
 - Unlabeled target data $\tilde{\mathcal{D}}^U = \{\tilde{\mathbf{x}}_j^U\}$
- Goal: learn linear classifier functions
 - $f(\mathbf{x}) = \boldsymbol{\theta}^T \mathbf{x}$ (source domain)
 - $\tilde{f}(\tilde{\mathbf{x}}) = \tilde{\boldsymbol{\theta}}^T \tilde{\mathbf{x}}$ (target domain)
- Many successful domain adaptation methods have objectives of the form

$$\min_{\boldsymbol{\theta}, \tilde{\boldsymbol{\theta}}, \mathbf{A}} \mathcal{R}(\boldsymbol{\theta}, \tilde{\boldsymbol{\theta}}, \mathbf{A}) + C \cdot \mathcal{L}(\mathcal{D}, \boldsymbol{\theta}) + \tilde{C} \cdot \tilde{\mathcal{L}}(\tilde{\mathcal{D}}^L, \tilde{\boldsymbol{\theta}})$$

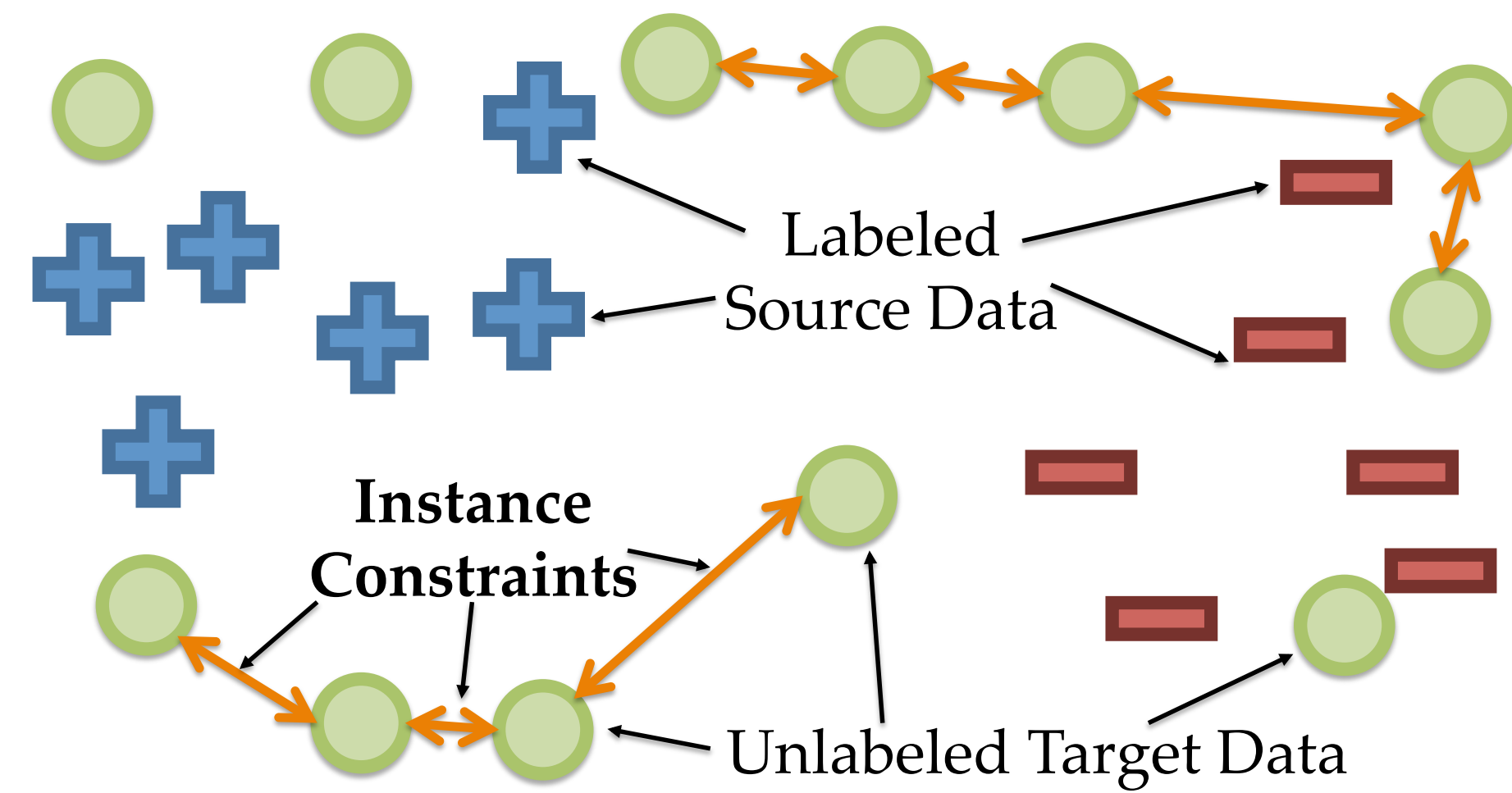
- Optional transformation parameter \mathbf{A}

ADAPTATION METHODS

- PMT-SVM [1] for adaptation from a single source domain category classifier $\boldsymbol{\theta}$
 - Learns a target hyperplane $\tilde{\boldsymbol{\theta}}$ by regularizing the angle $\alpha_{\tilde{\boldsymbol{\theta}}, \boldsymbol{\theta}}$ between the source and target hyperplanes
 - $\mathcal{R}^{\text{pmt}}(\boldsymbol{\theta}, \tilde{\boldsymbol{\theta}}) = \frac{1}{2} \|\tilde{\boldsymbol{\theta}}\|_2^2 + \frac{\Gamma}{2} \|\tilde{\boldsymbol{\theta}}\|_2^2 \sin^2 \alpha_{\tilde{\boldsymbol{\theta}}, \boldsymbol{\theta}}$
- MMDT [4] for multi-category transform-based adaptation
 - Jointly learns a linear domain transform \mathbf{A} and source domain hyperplane classifier parameters $\boldsymbol{\theta}$
 - Implicit target hyperplane $\tilde{\boldsymbol{\theta}} := \mathbf{A}^T \boldsymbol{\theta}$
 - $\mathcal{R}^{\text{mmdt}}(\boldsymbol{\theta}, \mathbf{A}) = \frac{1}{2} \|\boldsymbol{\theta}\|_2^2 + \frac{\gamma}{2} \|\mathbf{A} - \mathbf{I}\|_F^2$

INSTANCE CONSTRAINTS

- Instance constraints – given as sparse edge weight matrix \mathbf{B} , with elements $\beta_{j,j'}$ defining the similarity between each pair of target examples $\tilde{\mathbf{x}}_j, \tilde{\mathbf{x}}_{j'}$



- Define Laplacian matrix $\mathbf{L} = \mathbf{D} - \mathbf{B}$, where \mathbf{D} is the diagonal matrix that contains the row sums of \mathbf{B}
- (Convex) regularization term incorporating similarity constraints defined as

$$\begin{aligned} r(\tilde{\mathcal{D}}^U, \tilde{f}_{\tilde{\boldsymbol{\theta}}}) &= \frac{1}{2} \tilde{\mathbf{f}}^T \mathbf{L} \tilde{\mathbf{f}} \\ &= \frac{1}{2} (\tilde{\boldsymbol{\theta}}^T \tilde{\mathbf{X}}^U)^T \mathbf{L} (\tilde{\boldsymbol{\theta}}^T \tilde{\mathbf{X}}^U) \\ &= \sum_{j \neq j'} \beta_{j,j'} (\tilde{f}(\tilde{\mathbf{x}}_j^U) - \tilde{f}(\tilde{\mathbf{x}}_{j'}^U))^2 \end{aligned}$$

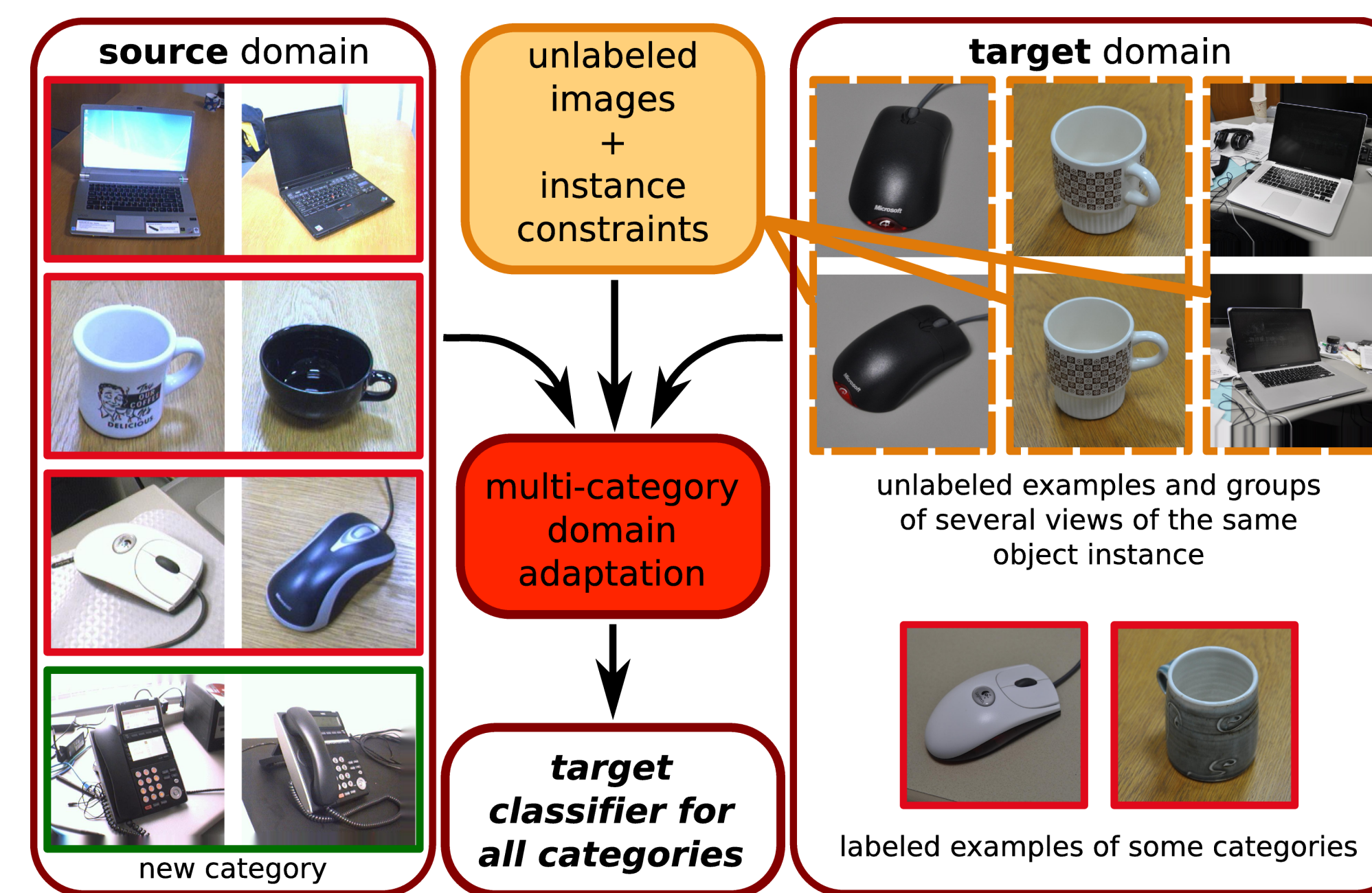
DA WITH CORRESPONDENCES

- Integrate the Laplacian regularizer into the domain adaptation framework

$$\min_{\boldsymbol{\theta}, \tilde{\boldsymbol{\theta}}, \mathbf{A}} \mathcal{R}(\boldsymbol{\theta}, \tilde{\boldsymbol{\theta}}, \mathbf{A}) + C \cdot \mathcal{L}(\mathcal{D}, \boldsymbol{\theta}) + \tilde{C} \cdot \tilde{\mathcal{L}}(\tilde{\mathcal{D}}^L, \tilde{\boldsymbol{\theta}}) + r(\tilde{\mathcal{D}}^U, \tilde{f}_{\tilde{\boldsymbol{\theta}}})$$

- Classifiers learned from this objective
 - Maximize the margin
 - Classify the labeled data accurately
 - **Classify similar unlabeled target data similarly**

CLASSIFICATION



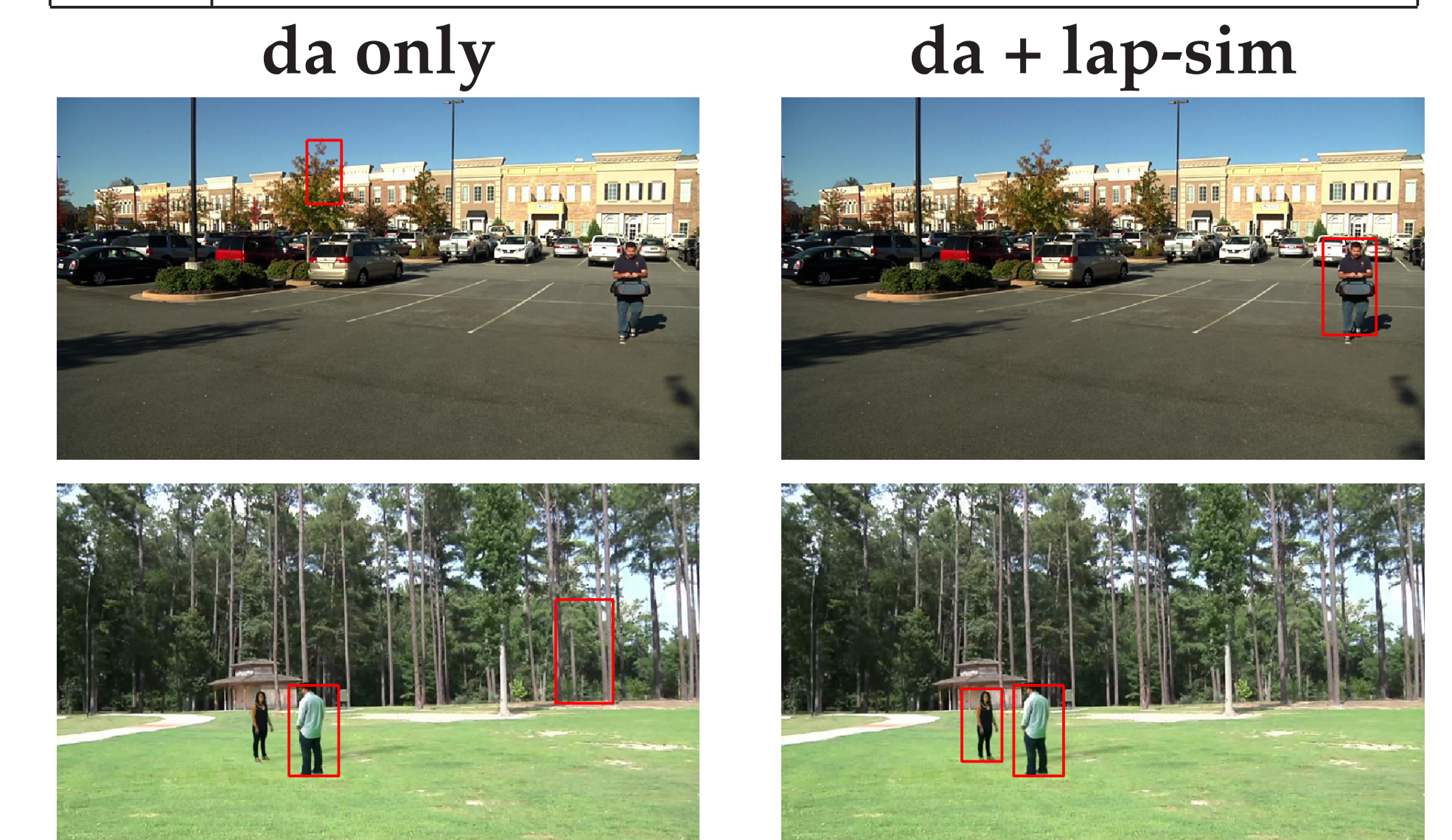
- **Multi-category classification setting**
 - Can use weights $\beta_{j,j'}$ to encode confidence that two images show the same object instance
- Experiments on the *Office* dataset [5]
- Use multi-category MMDT objective [4] as baseline (**da only**); Laplacian-extended version as our method (**da + lap-sim**)
- $\beta_{j,j'} = 1$ if $\tilde{\mathbf{x}}_j$ and $\tilde{\mathbf{x}}_{j'}$ show the same object instance; 0 otherwise
- 8 labeled examples per category in source domain; 1 in target domain

svm _S	svm _{SUT}	da only	da + lap-sim
45.80 ± 2.2	50.79 ± 2.4	54.57 ± 2.2	56.15 ± 2.7

DETECTION

- **Video detection setting (pedestrians)**
 - Weights $\beta_{j,j'}$ can be used to encode confidence that a pair of examples belong to the same (unlabeled) track
- Experiments on the *Pascal VOC* [2] (source) and *VisInt* [6] (target) datasets
- Framework integrated into DPM [3] detector
- Use PMT-SVM objective [1] as baseline (**da only**); Laplacian-extended version as our method (**da + lap-sim**)
- Let $\delta_{j,j'}$ be the frame index difference between examples $\tilde{\mathbf{x}}_j$ and $\tilde{\mathbf{x}}_{j'}$ if the examples come from the same track; 0 otherwise
- $\beta_{j,j'} = 1/\delta_{j,j'}$ if $\delta_{j,j'} > 0$; 0 otherwise
- 5011 labeled source images; N_f target

N_f	dpm _S	dpm _{SUT}	da only	da + lap-sim
10	0.3220	0.3502	0.1121	0.3317
20	0.3220	0.3473	0.3530	0.3913
30	0.3220	0.3816	0.4306	0.4303
40	0.3220	0.4314	0.4538	0.4584



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