

## DP vs. D&C:

### Dynamic Programming:

- write recursive formula but often express in terms of slightly smaller subproblems,  
e.g.,  $T(i)$  in terms of  $T(i-1) \& T(i-2)$ .
- Hence recursive algorithm will blow-up small subproblems solved too many times.
- Thus use iterative algorithm to solve bottom-up.

### Divide & conquer:

- Express solution to problem of size  $n$  in terms of subproblems of size  $\frac{n}{2}$  (or of size  $c^n$  for  $c < 1$ )
- Use recursion to solve subproblems & "combine/merge" to get solution to original.

Fast multiplication alg. from last class:

Fast Multiply( $x, y$ ):

input:  $n$ -bit integers  $x \& y$  where  $n$  is a power of 2  
output:  $z = xy$

$X_L = 1st \frac{n}{2}$  bits of  $x$  &  $X_R = last \frac{n}{2}$  bits of  $x$   
 $Y_L = 1st \frac{n}{2}$  bits of  $y$  &  $Y_R = last \frac{n}{2}$  bits of  $y$

$A = \text{Fast Multiply}(X_L, Y_L)$

$B = \text{Fast Multiply}(X_R, Y_R)$

$C = \text{Fast Multiply}(X_L + X_R, Y_L + Y_R)$

Return( $A \times 2^n + (C - A - B) \times 2^{\frac{n}{2}} + B$ )

Running time:

We'll show now:

$$T(n) = 3T\left(\frac{n}{2}\right) + O(n) = O(n^{\log_2 3}) \approx O(n^{1.59})$$

Note, consider example:  $x = 13 = (1101)_2$  &  $y = 11 = (1011)_2$

to compute  $13 \times 11$  we use:  $X_L = 3, X_R = 1$

$Y_L = 2, Y_R = 3$

&  $A = 3 \times 2, B = 1 \times 3, C = (3+1) \times (2+3)$ .

Key fact for solving recurrences:

Understanding geometric series:

Examples:

$$1 + \frac{1}{2} + \frac{1}{2^2} + \frac{1}{2^3} + \dots + \frac{1}{2^n} = O(1)$$

$$1 + 3 + 3^2 + 3^3 + \dots + 3^n = O(3^n)$$

for constant  $\alpha > 0$ ,

$$\sum_{i=0}^k \alpha^i = 1 + \alpha + \alpha^2 + \dots + \alpha^k$$

if  $\alpha < 1$ , then first term dominates

if  $\alpha > 1$ , then last term dominates.

Lemma: For  $\alpha > 0$ ,

$$\sum_{i=0}^k \alpha^i = \begin{cases} O(1) & \text{if } \alpha < 1 \\ O(k) & \text{if } \alpha = 1 \\ O(\alpha^k) & \text{if } \alpha > 1 \end{cases}$$

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Easy Multiply had the following recurrence:

$$T(n) = 4T\left(\frac{n}{2}\right) + O(n)$$

note this means there exists a constant  $c > 0$  so that:

$$\rightarrow T(n) \leq 4T\left(\frac{n}{2}\right) + cn$$

& the base case is always  $T(1) = O(1) \leq c$ .

Take & expand it out:

$$T(n) \leq cn + 4T\left(\frac{n}{2}\right)$$

$$\text{note } T\left(\frac{n}{2}\right) \leq 4T\left(\frac{n}{2^2}\right) + c\left(\frac{n}{2}\right)$$

thus,

$$T(n) \leq cn + 4\left[4T\left(\frac{n}{2^2}\right) + \frac{cn}{2}\right]$$

$$= cn + \left(\frac{4}{2}\right)cn + 4^2T\left(\frac{n}{2^2}\right)$$

$$\leq cn + \left(\frac{4}{2}\right)cn + 4^2\left[4T\left(\frac{n}{2^3}\right) + \frac{cn}{2^2}\right]$$

$$\leq cn\left(1 + \left(\frac{4}{2}\right) + \left(\frac{4}{2}\right)^2\right) + 4^3T\left(\frac{n}{2^3}\right)$$

$$\leq cn\left(1 + \left(\frac{4}{2}\right) + \left(\frac{4}{2}\right)^2 + \dots + \left(\frac{4}{2}\right)^{i-1}\right) + 4^i T\left(\frac{n}{2^i}\right)$$

stop when  $i = \log_2 n$  so that  $\frac{n}{2^i} = \frac{n}{n} = 1$

$$T(n) \leq cn\left(1 + \left(\frac{4}{2}\right) + \left(\frac{4}{2}\right)^2 + \dots + \left(\frac{4}{2}\right)^{\log_2 n - 1}\right) + 4^{\log_2 n} c$$

$$= O(n) \times O(2^{\log_2 n}) + O(4^{\log_2 n})$$

$$= O(n) \times O(n) + O(n^2)$$

$$= O(n^2).$$

Recall:

$$4^{\log_2 n} = 2^{2\log_2 n} = n^2$$

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$$\begin{aligned}
 T(n) &= 3T\left(\frac{n}{2}\right) + O(n) \\
 &\leq cn + 3T\left(\frac{n}{2}\right) \\
 &\leq cn + 3\left(3T\left(\frac{n}{2^2}\right) + \frac{cn}{2}\right) \\
 &= cn\left(1 + \frac{3}{2}\right) + 3^2T\left(\frac{n}{2^2}\right) \\
 &\leq cn\left(1 + \frac{3}{2} + \left(\frac{3}{2}\right)^2 + \dots + \left(\frac{3}{2}\right)^{i-1}\right) + 3^i T\left(\frac{n}{2^i}\right) \\
 &\leq cn\left(1 + \frac{3}{2} + \left(\frac{3}{2}\right)^2 + \dots + \left(\frac{3}{2}\right)^{\log_2 n - 1}\right) + 3^{\log_2 n} c \\
 &= O(n) \times O\left(\left(\frac{3}{2}\right)^{\log_2 n}\right) + O\left(3^{\log_2 n}\right) \\
 &= O\left(3^{\log_2 n}\right) \\
 &= O(n^{\log_2 3})
 \end{aligned}$$

Recall:  $3^{\log_2 n} = (2^{\log_2 3})^{\log_2 n} = (2^{\log_2 n})^{\log_2 3} = n^{\log_2 3}$ .

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$$\begin{aligned}
 T(n) &= 2T\left(\frac{n}{3}\right) + O(n) \\
 &\leq 2T\left(\frac{n}{3}\right) + cn \\
 &\leq cn + 2\left(2T\left(\frac{n}{3^2}\right) + cn/3\right) \\
 &= cn\left(1 + \left(\frac{2}{3}\right)\right) + 2^2 T\left(\frac{n}{3^2}\right) \\
 &\leq cn\left(1 + \left(\frac{2}{3}\right)\right) + 2^2\left(2T\left(\frac{n}{3^3}\right) + cn/3^2\right) \\
 &= cn\left(1 + \left(\frac{2}{3}\right) + \left(\frac{2}{3}\right)^2\right) + 2^3 T\left(\frac{n}{3^3}\right) \\
 &\leq cn\left(1 + \left(\frac{2}{3}\right) + \left(\frac{2}{3}\right)^2 + \dots + \left(\frac{2}{3}\right)^{i-1}\right) + 2^i T\left(\frac{n}{3^i}\right)
 \end{aligned}$$

stop when  $i = \log_3 n$  so  $\frac{n}{3^i} = 1$

$$\begin{aligned}
 &\leq cn\left(1 + \left(\frac{2}{3}\right) + \left(\frac{2}{3}\right)^2 + \dots + \left(\frac{2}{3}\right)^{\log_3 n - 1}\right) + 2^{\log_3 n} c \\
 &= O(n) \times O(1) + O(2^{\log_3 n}) \quad = O(1) \text{ since } \alpha = \frac{2}{3} < 1 \\
 &= O(n) + O(n^{\log_3 2}) \quad 2^{\log_3 n} = (3^{\log_3 2})^{\log_3 n} = n^{\log_3 2} \\
 &= O(n) \quad \text{since } \log_3 2 < 1.
 \end{aligned}$$

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In general, for  $T(n) \leq aT\left(\frac{n}{b}\right) + O(n)$ ,  $T(1) = O(1)$   
 for constants  $a > 0, b > 1$ .

$$\begin{aligned}
 T(n) &\leq aT\left(\frac{n}{b}\right) + cn \\
 &\leq cn + a\left[aT\left(\frac{n}{b^2}\right) + \frac{cn}{b}\right] \\
 &= cn\left(1 + \frac{a}{b}\right) + a^2T\left(\frac{n}{b^2}\right) \\
 &\leq cn\left(1 + \left(\frac{a}{b}\right)\right) + a^2\left[aT\left(\frac{n}{b^3}\right) + \frac{cn}{b^2}\right] \\
 &\leq cn\left(1 + \left(\frac{a}{b}\right) + \left(\frac{a}{b}\right)^2\right) + a^3T\left(\frac{n}{b^3}\right) \\
 &\leq cn\left(1 + \left(\frac{a}{b}\right) + \left(\frac{a}{b}\right)^2 + \dots + \left(\frac{a}{b}\right)^{i-1}\right) + a^i T\left(\frac{n}{b^i}\right)
 \end{aligned}$$

stop when  $i = \log_b n$  so  $\frac{1}{b^i} = 1$

$$\begin{aligned}
 &\leq cn\left(1 + \left(\frac{a}{b}\right) + \left(\frac{a}{b}\right)^2 + \dots + \left(\frac{a}{b}\right)^{\log_b n - 1}\right) + a^{\log_b n} c \\
 &= O(1) \text{ if } \frac{a}{b} < 1 \\
 &= O(\log n) \text{ if } a = b \\
 &= O\left(\left(\frac{a}{b}\right)^{\log_b n}\right) \text{ if } \frac{a}{b} > 1
 \end{aligned}$$

$a^{\log_b n} = n^{\log_b a}$

if  $a < b$ :  $T(n) \leq O(n) \times O(1) + O(n^{\log_b a}) = O(n)$

if  $a = b$ :  $T(n) = O(n \log n) + O(n^{\log_b a}) = O(n \log n)$

if  $a > b$ :  $T(n) = O(n) \times O\left(\left(\frac{a}{b}\right)^{\log_b n}\right) + O(n^{\log_b a}) = O(n^{\log_b a})$

## Master Theorem:

For constants  $a > 0, b > 1, d \geq 0$ , the recurrence:

$$T(n) = aT\left(\frac{n}{b}\right) + O(n^d)$$

Solves to:

$$T(n) = \begin{cases} O(n^d) & \text{if } \frac{a}{b^d} < 1 \\ O(n^d \log n) & \text{if } \frac{a}{b^d} = 1 \\ O(n^{\log_b a}) & \text{if } \frac{a}{b^d} > 1 \end{cases}$$

## Proof idea:

Expanding out we get:

$$T(n) \leq cn^d \left( 1 + \left(\frac{a}{b^d}\right) + \left(\frac{a}{b^d}\right)^2 + \dots + \left(\frac{a}{b^d}\right)^{\log_b n} \right)$$

if  $\frac{a}{b^d} < 1$  then  $T(n) = O(n^d)$

if  $\frac{a}{b^d} = 1$  then  $T(n) = O(n^d \log n)$

if  $\frac{a}{b^d} > 1$  then  $T(n) = O(a^{\log_b n})$   
 $= O(n^{\log_b a})$

Example Recurrences:

Binary search:  $T(n) = T\left(\frac{n}{2}\right) + O(1)$

$$a=1, b=2, d=0$$

$$\frac{a}{b^d} = \frac{1}{1} = 1$$

$$\text{so } T(n) = O(\log n)$$

MergeSort:  $T(n) = 2T\left(\frac{n}{2}\right) + O(n)$

$$a=2, b=2, d=1$$

$$\frac{a}{b^d} = \frac{2}{2} = 1$$

$$T(n) = O(n \log n)$$

Multiplication:  $T(n) = 4T\left(\frac{n}{2}\right) + O(n)$

$$a=4, b=2, d=1 \text{ so } \frac{a}{b^d} > 1$$

$$T(n) = O(n^{\log_2 4}) = O(n^2)$$

Note,  $T(n) = 2T\left(\frac{n}{2}\right) + O(1)$

$$a=2, b=2, d=0 \text{ so } \frac{a}{b^d} = \frac{2}{1} > 1$$

$$T(n) = O(n^{\log_2 2}) = O(n)$$

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$$T(n) = T\left(\frac{3}{4}n\right) + O(n)$$

$$a=1, b=\frac{4}{3}, \alpha=1 \quad \text{so } \frac{a}{b^\alpha} = \frac{1}{4/3} < 1$$

$$T(n) = O(n)$$

Note,  $T(n) = T(\alpha n) + O(n)$

for any  $\alpha < 1$  solves to  $T(n) = O(n)$ .